

## Session 116 - (2005-2006)

**S\*1363 (Rat #0366, Act #0331 of 2006) General Bill, By Martin**

**Summary:** Broker-dealer renewal fee

AN ACT TO AMEND SECTION 35-1-405, CODE OF LAWS OF SOUTH CAROLINA, 1976, RELATING TO THE SOUTH CAROLINA UNIFORM SECURITIES ACT OF 2005, FEDERAL COVERED INVESTMENT ADVISER NOTICE FILING REQUIREMENTS, SO AS TO PROVIDE THE CORRECT CITATION; AND TO AMEND SECTION 35-1-702, RELATING TO FEES, SO AS TO PROVIDE THAT THE CORRECT FEE FOR A BROKER-DEALER RENEWAL IS ONE HUNDRED TEN DOLLARS. - ratified title

|          |        |  |
|----------|--------|--|
| 04/20/06 | Senate | Introduced, read first time, placed on calendar without reference SJ-3 |
| 04/26/06 | Senate | Read second time SJ-32   |
| 04/27/06 | Senate | Read third time and sent to House SJ-33                                |
| 05/02/06 | House  | Introduced and read first time HJ-23                                   |
| 05/02/06 | House  | Referred to Committee on Labor, Commerce and Industry HJ-23            |
| 05/24/06 | House  | Recalled from Committee on Labor, Commerce and Industry HJ-74          |
| 05/24/06 | House  | Referred to Committee on Judiciary HJ-74                               |
| 05/25/06 | House  | Recalled from Committee on Judiciary HJ-36                             |
| 05/30/06 | House  | Read second time HJ-168  |
| 05/31/06 | House  | Read third time and enrolled HJ-30                                     |
| 05/31/06 |        | Ratified R 366   |
| 06/07/06 |        | Became law without Governor's signature                                |
| 06/12/06 |        | Copies available   |
| 06/12/06 |        | Effective date 06/07/06  |
| 06/16/06 |        | Act No. 331  |