In the Matter Of:

State Regulation of Public Utilities v Screening Subcommittee

# Screening Subcommittee Public Hearing April 18, 2016

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1	STATE REGULATION OF PUBLIC UTILITIES
2	REVIEW COMMITTEE
3	
4	SCREENING SUBCOMMITTEE PUBLIC HEARING
5	
б	DATE: April 18, 2016
7	TIME: 9:06 a.m.
8	LOCATION: Gressette Building
9	1101 Pendleton Street, Room 209 Columbia, SC
10	REPORTED BY: Lori S. Mortge,
11	Certified Court Reporter
12	
13	
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1	MEMBERS IN ATTENDANCE:	2
2	Senator C. Bradley Hutto, Chairman	
3	Representative P. Michael Forrester	
4	Erik H. Ebersole	
5	John S. Simmons	
б	Representative David Mack (Observer)	
7		
8	COMMITTEE COUNSEL:	
9	Heather Anderson	
10	Jamey Golden	
11		
12		
13		
14		
15	(INDEX AT REAR OF TRANSCRIPT)	
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SENATOR HUTTO: All right. 1 Good 2 morning, everybody. Good morning. 3 AUDIENCE: SENATOR HUTTO: This is the public 4 hearing for the screening of candidates for the 5 Public Service Commission seats 1, 3, 5 and 7. 6 7 There's nine candidates to be screened. One of the duties of the Review Committee is to screen 8 9 candidates to determine whether they meet statutory 10 qualifications. First, a baccalaureate or more 11 advanced degree; second, a background of substantial 12 duration and expertise in at least one of the 13 following areas -- energy, telecommunications, 14 consumer protection and advocacy, water and 15 wastewater, finance, economics and statistics, 16 accounting, engineering or law. 17 The Review Committee is also required to 18 consider the ability, dedication, compassion, common 19 sense and integrity of the candidates, and the race 20 and gender of the candidates and other demo --21 demographic factors to assure nondiscrimination to 2.2 the greatest extent possible of all segments of the population of the state. 23

24 Since we've received applications we25 conducted background investigations of each

4 candidate including credit, law enforcement, 1 driver's license checks. We gave a written 2 examination to discuss and determine the level of 3 knowledge that each candidate has with respect to 4 the substantive public utility issues, ethical 5 constraints applicable to the Commission, and 6 7 operations of the Commission. We're here today to have further 8 9 questioning of the candidates and to give them an 10 opportunity to make any statement with respect to 11 their qualifications and their desire to serve as a 12 commissioner. 13 In review, committee members in 14 attendance will also be given the opportunity to ask 15 questions to the candidates. The subcommittee will 16 present its report to the candidates -- to the full 17 committee for its review and adoption. We 18 anticipate this Review Committee will issue a report 19 to the General Assembly in mid-May. Candidates may 20 not seek pledges until 48 hours after the Review Committee has submitted its official report. 21 2.2 Candidates will be notified at the time that the 23 report is officially issued. 24 The subcommittee requests that substantive information during the screening be 25

5

shared -- to not be shared until after the final 1 2 candidate has been screened. The candidates are sequestered prior to the screening in order to 3 ensure fairness during the process. However, the 4 purpose of sequestration is defeated if the 5 6 candidates are contacted about the questions that 7 are being asked or read about earlier candidates' screening on-line. So that means no texting, no 8 9 tweeting -- no any of that other stuff that I don't 10 even know how to do. So there is to be no 11 communication out of this room from questioning of 12 the candidates to the candidates who are waiting to 13 come in.

14 And if anybody has any other opening comments; if not, I'm going to move that the 15 subcommittee meet in executive session with each 16 17 candidate following the public screening to discuss 18 the potential employment pursuant to Section 30-4-70 19 or other matters of a personal nature with public 20 disclosure would constitute an unreasonable invasion 21 of privacy which are exempt from public disclosure 2.2 pursuant to the statute.

23 So we're going to -- what we're going to 24 do now is go into executive session before we start 25 the first screening. We're going to go into

6 executive session after each candidate to take up 1 2 any matters that might be related to their record that's not subject to public review. We're going to 3 try and stick to the schedule that we've got, which 4 is that we're going to begin -- try to begin the 5 first screening at 9:30 and then the second at ten, 6 7 we'll take a break from 10:30 to 10:45. We'll screen District 3 at 10:45, and then we'll come back 8 9 and we'll try to do District 5 at 11:15, 11:45 and 10 12:15. We'll break for lunch at 12:45 to 1:30 and 11 then we'll finish up with District 7 after lunch, 12 we'll start those screenings at 1:30 and try to 13 complete those by three.

So if nobody has any other questions about the schedule so that we'll try to stay on time, I am going to move to go into executive session right now and ask that you folks in the audience to step out in the hallway. And this shouldn't take long and we'll get back in and try to start on time at 9:30. Thank you.

21

(Executive session.)

22 SENATOR HUTTO: All right. You late 23 comers, I said no texting and no tweeting. Did you 24 get that in the back row back there? Or even 25 passing notes.

1	7 All right. We're ready to begin the
2	screening of Public Service Commission District 1
3	and we'll start off with Commissioner John E.
4	"Butch" Howard. Turn it over to the staff.
5	MR. GOLDEN: Do you want to swear him
6	in, have the court reporter do it?
7	SENATOR HUTTO: Court reporter, will you
8	swear him in, please?
9	THE COURT REPORTER: Yes, sir.
10	JOHN E. "BUTCH" HOWARD
11	being first duly sworn, testified as follows:
12	MR. GOLDEN: Good morning, Commissioner.
13	First I'd like to take the opportunity to summarize
14	kind of your background.
15	Commissioner Howard was initially
16	elected to the Public Service Commission in 2004.
17	Before serving on the Commission Mr. Howard worked
18	in a number of different capacities. Prior to
19	this his service on the Commission he was
20	printing division manager at RL Bryan Company.
21	President of sales and part owner of Williams Howard
22	and he also worked in the trucking industry.
23	Commissioner Howard has been a member of
24	NARUC since 2004 and serves on its board of
25	directors as chairman of the subcommittee on

	April 16, 2010
1	8 education and research. You have you have here
2	in your packet and your binder Commissioner Howard's
3	Personal Data Questionnaire, and I would like for it
4	to be entered into the record with any amendments if
5	you have any today, Commissioner?
6	COMMISSIONER HOWARD: No.
7	(EXH. 1, Personal Data Questionnaire for
8	John E. Howard, was marked for
9	identification.)
10	(EXH. 2, Performance Evaluation for John
11	E. "Butch" Howard, was marked for
12	identification.)
13	MR. GOLDEN: As part of our background
14	investigation of the candidate, we obtained a credit
15	check, driver's license and SLED check. For the
16	record, I would like to state that the credit check,
17	driver's license and SLED check revealed no negative
18	end results brought for Commissioner Howard that
19	should be brought to the attention of this
20	committee.
21	Before we begin your questioning, do you
22	have a brief statement you would like to make to the
23	committee?
24	COMMISSIONER HOWARD: No. I am looking
25	forward to your questions and I hope I answer them
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JOHN E. "BUTCH" HOWARD - EX. BY MR. GOLDEN 1 and keep your confidence. 2 MR. GOLDEN: Thank you. 3 EXAMINATION 4 BY MR. GOLDEN: Will you please state your name and home 5 Ο. address for the record? б 7 Α. My name is John E. Howard. Home address is 108 Waterfront Drive, Moncks Corner 29461. 8 Are you a registered voter? 9 Q. 10 Α. Yes, I am. 11 Ο. Which Congressional District are you 12 registered to vote? 13 First Congressional District. Α. Can you describe an ordinary workday at 14 Ο. 15 the Commission? 16 Α. Workday at the Commission? It varies --17 it varies on what we do. If we have a hearing --18 and the hearings usually start around 10:30. So we 19 get there, you know, whenever -- you know, get there 20 and you do the preparation work and then you go into 21 the hearing and you question. And then that's 22 basically -- then you'll have another meeting in the 23 afternoon. 24 Wednesday, virtually always business 25 meetings in the afternoon. So that's where we'll

	April 16, 2010
1	10 JOHN E. "BUTCH" HOWARD - EX. BY MR. GOLDEN take up and vote on issues to be we have heard
2	and other issues might come forward to the
3	Commission, do that on Wednesday afternoon.
4	Thursday, we'll also have hearings.
5	Q. How do you prepare for a hearing?
6	A. Good question. Depends on what it is.
7	Most of the time most of the time you read the
8	testimony. You read testimony and any laws that may
9	be particular to the situation and all the
10	testimony, just read the testimony along with
11	that way you think of questions you might have or
12	any information you might need to make a decision.
13	Q. What type of contact do you have with
14	Commission staff?
15	A. Commission staff? I have a fairly I
16	have a fairly fairly I'm laughing because the
17	Electricians Commission, the staff person resigned.
18	He said I was his best customer not resigned, he
19	retired. But, yeah, I have a I depend on the
20	staff a good bit.
21	Q. Thank you. Your annual review reports
22	by PURC have been entered into the record. Would
23	you like to provide any additional description
24	concerning your experience or expertise that is not
25	covered in your Personal Data Questionnaire or in

11 JOHN E. "BUTCH" HOWARD - EX. BY MR. GOLDEN 1 your annual reviews in the areas where you'd like to discuss your expertise? 2 No, not really. I think -- I think the 3 Α. 4 personal data information sheet was pretty well all 5 encompassing. I mean, yeah, I went on my -- all my responsibilities at NARUC and -- and the Commission, б 7 you know. Former vice chair, former chairman of the Commission, been on the Commission for 12 years. 8 NARUC, former vice chair of the Water Committee, 9 10 chair of the Water Committee. Now vice chair of the Subcommittee on Education and Research and now I'm 11 12 chair of the Subcommittee on Education and Research. 13 If you were approached by someone Ο. 14 wanting to discuss a matter that is or may likely 15 become an issue before the Public Service 16 Commission, how would you go about that? 17 I would first tell them I couldn't Α. 18 discuss it because of ex parte regulations. And 19 then it depends on what our conversation was. Ιf you said something, you know, just leads me to --20 21 that I was uncomfortable with and I thought might be 22 ex parte, I would report it. I would turn it in to 23 our chief legal counsel and let him handle it. 24 Ο. Are you familiar with two advisory 25 opinions issued by the Review Committee dealing with

	April 16, 2016
1	12 JOHN E. "BUTCH" HOWARD - EX. BY MR. GOLDEN attendance at legislative receptions as well as
2	legislative caucus meetings?
3	A. Yes, sir. I promise you I'm familiar
4	with those.
5	Q. Do you have you as a follow-up
6	have you attended any legislative receptions or
7	caucus meetings?
8	A. No. The question has come up and I
9	might ask you and I think there's different
10	opinions of it. Are we allowed to go to the General
11	Assembly, the county meetings you know, the
12	delegation meetings in counties and stuff like that?
13	Berkeley County might have a delegation meeting
14	I'm not laughing over that or what I mean, I
15	think I've heard two rulings on it, that's why I
16	asked the question. Do you understand?
17	MR. GOLDEN: Do you want to answer that?
18	SENATOR HUTTO: There is no
19	prohibition
20	COMMISSIONER HOWARD: Pardon?
21	SENATOR HUTTO: There's no prohibition
22	to attending a county delegation meeting.
23	COMMISSIONER HOWARD: Thank you.
24	BY MR. GOLDEN:
25	Q. What is the appropriate demeanor for a

13 "BUTCH" HOWARD - EX. BY MR. GOLDEN JOHN E. 1 commissioner? Α. If it wasn't for Representative Parr 2 (unintelligible), I think there'd be -- no. 3 It -it's carrying yourself professional. Knowing --4 5 knowing yourself and abide by the Code of Ethics and standards of the Commission. б 7 Ο. Is there ever a role for sternness or anger with commissioners? 8 9 Α. No. I mean, there's no reason for it. 10 I mean, you're a commissioner; but, you know, 11 basically you just have to control the situation. 12 No reason for you to get angry. People might get 13 angry at you, but no reason for you to get angry. 14 Will you briefly describe three issues Ο. 15 either pending before the Commission or that have 16 come before the Commission during your time as a 17 commissioner? I'm looking at the clock because the 18 Α. 19 first one I'm going to say is Base Load Review Act. I think -- in fact, that's a controversial issue. 20 21 That's the issue that, as a result, could have 22 national implications. The Base Load Review Act was 23 an act passed by the General Assembly to allow 24 upfront recovery of cost of building base load 25 generations to be performed. But then a question

	April 16, 2016
1	14 JOHN E. "BUTCH" HOWARD - EX. BY MR. GOLDEN that I asked myself, based on generation, basically
2	is right now is coal, gas and nuclear.
3	Then I say, what do we need to do?
4	First thing is look at your growth. I don't think
5	anybody driving in traffic would deny we've got
6	tremendous growth. How in the future do we handle
7	the growth that's growing in the face of a
8	generation? And I challenge anyone to give me
9	another answer to that question.
10	Coal, I think we all are left hanging
11	because I don't think coal I don't think you can
12	get a coal plant permit any time soon anyway.
13	Natural gas is the golden child right now, everyone
14	wants natural gas. Natural gas is still an
15	emissions still emits greenhouse gases, not to
16	the extent of coal; but it's still it's still in
17	the middle. Natural gas prices still fluctuate.
18	Natural gas prices are low because of fracking.
19	Fracking is one EPA regulation away from
20	having, you know, cost implications, how to how
21	to get around EPA regulations and different
22	conditions, coal, whatever you have. I thought it
23	was interesting I was talking to commissioners
24	from Oklahoma and the numbers are fairly accurate,
25	they might not be exact; but they told me, like,

15 JOHN E. "BUTCH" HOWARD - EX. BY MR. GOLDEN 1 three years ago they had 60 earthquakes. Last year they had 600. And every earthquake would track --2 would track to fracking. And he said, you know --3 4 and Oklahoma is a big state for fracking. They've 5 got a lot of income, a lot of money, a lot of fracking wells. You know, they're probably a pro -б 7 well, they are a pro fracking state. But then he's saying, you know, people can do this and do that; 8 9 but then their house starts moving, you know, they 10 get different opinions. 11 Now -- now, the process that caused the 12 earthquakes is not necessarily the fracking itself. 13 Each fracking well, which is another problem, takes 14 four to five million gallons of fresh water. Tens 15 of thousands of fracking wells. Think about a state 16 with drought conditions -- Texas, for example, with 17 fracking wells. It would be a situation where 18 people got to choose between water to drink and 19 water for them fracking wells.

20 So that -- that's a big issue. But when 21 they -- they track this water and it's got a 22 chemical in it. And the chemicals, by and large, 23 have been treated with some contested information --24 but you really just don't know what's in it. Most 25 people know it's a big -- a big part of it is diesel

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16 JOHN E. "BUTCH" HOWARD - EX. BY MR. GOLDEN 1 fuel. But then when water comes back up, no 2 wastewater plant will take it. What do you do with 3 it? 4 What they have been doing is they inject it in them used wells and this is what's basically 5 caused the earthquake, when they inject the water б down there. So as chairman of the Water Commission, 7 I was pretty concerned about it because that is 8 9 water that will never go back in the hydrological 10 cycle. So what do we do with it? I mean, you know, so -- and there's been all kinds of studies of doing 11 12 fracking without water. Now I'm -- not impractical 13 right now, I'm sure some day they will be. 14 So that's why I -- I'm reluctant to put 15 all my eqqs in the natural gas basket. I mean, 16 anything can happen with natural gas. They close 17 down fracking, you're back on the Gulf Coast water, hurricane comes in, you're back with 14 different 18 19 kinds of gases. So, you know, as far as Base Load 20 goes, they can all be nuclear as far as I'm 21 concerned. Now, I think -- I think once these 22 23 plants are built -- I know it's a long time frame.

24 It's expensive. People ask me all the time, why are 25 SCE&G -- why are they so expensive, more than even

	April 10, 2010
1	17 JOHN E. "BUTCH" HOWARD - EX. BY MR. GOLDEN Duke? Duke has Duke Progress has four nuclear
2	plants. Duke Energy has seven nuclear plants.
3	Nuclear is, by far, the cheapest in the generation.
4	I think fuel cost is something like four cents
5	versus 10 or 11 of other other fuel costs of the
6	generation. So by SCE&G only having one fuel
7	plant only one nuclear plant, they are
8	disproportionate as far as, you know, the cost
9	savings they would get as far as nuclear, that Duke
10	and other companies have. I'm looking at the clock
11	and I have two more to go.
12	And then and then the thing about
13	nuclear, too, you know, that a lot of people don't
14	know, it emits no greenhouse gas. Nuclear is clean.
15	So the disadvantage of nuclear obviously is the cost
16	of construction and perception and we're finally
17	here today because I just saw an article in USA
18	Today, this month is the 30-year anniversary of
19	Chernobyl. Everybody be talking about nuclear
20	brings up Chernobyl. Chernobyl was an accident
21	waiting to happen. There was no regulation, there
22	was no containment field, nothing. It was just
23	it was just a bad situation. Then they bring up
24	Three Mile Island. Nobody was hurt, nobody was
25	killed at Three Mile Island. What they did, it's

18 "BUTCH" HOWARD - EX. BY MR. GOLDEN JOHN E. 1 made regulations a lot stricter. And then, you know, we're in the process 2 of building that nuclear plant and you had a tsunami 3 4 in Japan wipe out a nuclear plant. Well, most of 5 the damage was caused by the tsunami, not the earthquake itself. If a tsunami hits Jenkinsville, б 7 we've got a lot more problems than the nuclear That's, like, 400 people. So that's 8 plant. basically -- that's basically probably the biggest 9 10 issue as commissioner that I found that I have. 11 I mean, I'm looking -- we won't talk 12 about -- I won't go there. Let me see what else. 13 Well, the Distribution Generation Act passed by the 14 General Assembly and that -- that gives us inroads 15 to nuclear energy. That gives us legislation and 16 some guidelines to promote and work with nuclear 17 energy -- particularly so. Particularly so. And so 18 that handled -- that particular act handled 19 distribution generation, gas meters and also third 20 parties, so -- so other leading companies. 21 The third one -- we can always go back. We came in on Act 175. That is the act we were 22 23 raised -- you know, the Commissioners that were on before Act 175 had a different mind-set. We came in 24 25 all new with Act 175 and it wasn't that -- wasn't

19

"BUTCH" HOWARD - EX. BY MR. GOLDEN JOHN E. 1 that difficult. Telecom issues sort of take the 2 3 background, but when they get on the front they get 4 on the front. I mean, the last issue we had was deciding if there were complications. And it was a 5 big issue as far as general service funds going to б wireless service and I don't have to tell y'all the 7 complications, but there's no way of denying that 8 there's not competition in the telecom industry. 9 Ι 10 mean, I -- landlines are going down, people are 11 losing landlines every day. I've got several 12 friends that don't have landlines now, the only 13 thing they have is their cell phones. And in 14 particular young kids, I mean, young America. 15 So I would think those would be my 16 personal three issues based on the Review Act and 17 based on the Review Act -- the implication is based 18 on the Review Act allows a company to -- to get paid 19 up front. And now once they have an annual review -- in the annual review if the extensions 20 21 they paid, we got it proven, then they can put those 22 extensions to be paid. All right. It is 23 scrutinized severely -- I mean, very critical,

24 | that's the way the law is. The law has to go

25 through the receipt for every expenditure and then



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	JOHN E. "BUTCH" HOWARD - EX. BY MR. GOLDEN
1	we come back we get it, we go through all the
2	testimony, our staff you know, all expenses,
3	additional revenue requirements, and that's it.
4	But if you ask me what would be one
5	thing as a commissioner that I didn't realize the
6	importance of until I got on, it would be it
7	would have to be the influence Wall Street has on
8	our utility. Wall Street looks at us, they look at
9	everything because their investment they want to
10	be sure that they get cost recovery on what they
11	invest and the Base Load Review Act gives them the
12	assurance that they will get their money back. You
13	know, that's their investment.
14	Q. Let me ask you. Let's let's touch on
15	the Base Load Review Act because, as you said, it

has been scrutinized a lot and there's been a lot of public comments on it. Let me ask you this. Is there a way that the public's voice can be heard under proceedings under the Base Load Review Act?

A. Oh, yeah, and they are heard. I mean, I think we've got one coming up -- I'm not sure. I think we have -- you know, the public does participate in any Base Load Review hearing. And -and I will say this, it's an important consideration. It's important to hear them. So the

21

JOHN E. "BUTCH" HOWARD - EX. BY MR. GOLDEN 1 public is there, you know, to stress their viewpoint and their opinion. 2 Do you feel you have an obligation in 3 Q. 4 weighing the public's interest versus that of an 5 IOU, an investor-owned utility? Α. Yeah. Key word is weigh the public б 7 interest. Yes, sir. We do. That is one of the missions of ORS. They represent the public 8 9 industry -- they represent the public interest in 10 all our hearings. They are a part of our hearings 11 and one of the challenges they represent is public 12 interest. 13 And I'll be honest. When I set a rate 14 change, there's a sequence I use in my mind. And 15 our mission is to furnish reliable utility 16 service -- be it electricity, be it gas, be it 17 water, what have you, at a reasonable rate, and then 18 the third part is economic development. That is the 19 order that I think about, and the first thing we 20 have is reliability. You can give away electricity, 21 but if it's not reliable and people are on oxygen 22 tanks and -- say what you want to, but electricity 23 is a part of our world and it's going to stay there. 24 I guess after water it's probably the most critical commodity we regulate. But -- but you've got to 25

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1	22 JOHN E. "BUTCH" HOWARD - EX. BY MR. GOLDEN have it. And then after you get reliability you
2	pass on your reliability factor, then the cost
3	factor comes in. It's important. And, you know, we
4	are a poor state. You know, the average income is
5	not that great. I was talking to a Commissioner,
6	arguing one time, and they say our people do not
7	care what they pay as long as it is environmentally
8	friendly. We don't have that type of flexibility.
9	A lot of our people do care, you know, what it
10	costs. Everybody is worried about what the price
11	is, so that's just the way that I look at it. I
12	think reliability is very important. You've got to
13	have it and you've got to have a reasonable cost.
14	Q. Thank you. Just a few general
15	questions. Have you sought or received a pledge of
16	any legislator prior to this date?
17	A. No, I have not.
18	Q. Have you sought or been offered a
19	conditional pledge of support by any legislator
20	pending the outcome of this screening?
21	A. No, I haven't.
22	Q. Have you asked any third parties to
23	contact members of the General Assembly on your
24	behalf before the formal report is issued?
25	A. No, I haven't.

	Screening Subcommittee April 16, 2016
1	23 JOHN E. "BUTCH" HOWARD - EX. BY SENATOR HUTTO Q. Are you aware of any friends or
2	colleagues attempting to contact any members of the
3	General Assembly on your behalf?
4	A. If they have, I'm not aware.
5	Q. Are you familiar with the 48-hour rule
6	which prohibits candidates from seeking pledges
7	until 48 hours after the report is finalized?
8	A. Right.
9	Q. Do you plan to serve your full term if
10	reelected?
11	A. Yes, I do.
12	MR. GOLDEN: Thank you. Mr. Chair, I
13	have no other questions.
14	EXAMINATION
15	BY SENATOR HUTTO:
16	Q. You made the comment that in connection
17	to fracking that that water will never go back into
18	the hydrological cycle. You said it never can be
19	cleaned up?
20	A. No. Matter of fact, one of the problems
21	is no wastewater treatment plant will accept it.
22	Q. Right. But even through nature, through
23	seeping through whatever rocks or whatever else that
24	might cleanse it, it just won't?
25	A. That would be a good question. The

	April 16, 2010
J 1	24 OHN E. "BUTCH" HOWARD - EX. BY REPRESENTATIVE FORRESTER answer to that question I don't know. I would think
2	that I would think if that could happen there
3	would be some technological way now that they can
4	extract the impurities out of the water. But that's
5	just a guess on my part.
6	SENATOR HUTTO: Okay. Any members of
7	the Committee have any questions that they'd like to
8	ask Commissioner Howard?
9	REPRESENTATIVE FORRESTER: Thank you,
10	Mr. Chair.
11	EXAMINATION
12	BY REPRESENTATIVE FORRESTER:
13	Q. Mr. Howard, just two questions. What
14	would you say has been your highest accomplishment
15	during your ten years as commissioner?
16	A. Personally or as commissioner?
17	Q. Either way.
18	A. Well, personally it would have to be
19	chairman of the Water Committee. I mean, that was
20	just a vote of confidence among my commissioner
21	peers and that was more than I expected. And I'd
22	have to go back to the Base Load Review Act because
23	that allows us the opportunity to have treatment
24	generation.
25	Right now I know of two states that are

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	Screening Subcommutee April 16, 2010
J 1	25 OHN E. "BUTCH" HOWARD - EX. BY REPRESENTATIVE FORRESTER watching us very closely to see how the plants
2	how they fare how they fare budget wise, how they
3	fare schedule wise, and because of the reasons I
4	mentioned. I know it's controversial, and I know
5	I'll probably get some heat, but that's exactly
6	where I stand. I don't see how we can have base
7	load generating with the growth we're experiencing
8	in South Carolina without nuclear. There is plenty
9	of reasons for gas, coal, little bit but
10	basically and hopefully hopefully in 20 years, 25
11	years renewable gas will be such.
12	I mean, I talked to someone the other
13	day I don't know what's going on with nuclear
14	I mean, solar research, but he would tell me he read
15	an article about house painting. How painting your
16	house with a different color paint, different kind
17	of paint would give your whole house envelop your
18	house in solar panels by using this paint. That
19	might or might not be a factor, but we've got to
20	give we've got to give that technologically
21	some technology some time to mature, and what we
22	do while it's maturing I can't tell you.
23	Q. My last question is tell me why you feel
24	you are the most qualified for this position.
25	A. I have a load of experience. I mean,

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J 1	26 OHN E. "BUTCH" HOWARD - EX. BY REPRESENTATIVE FORRESTER there's so many issues right now on the table and I
2	think it's preemptive. I mentioned also, you know,
3	we've got to deal with nuclear generation, we've got
4	to deal, you know, with the coal industry and other
5	renewable factors. And they are coming I see
6	I see renewable being an important part of our
7	generation in years to come when that technology
8	matures.
9	SENATOR HUTTO: Any other questions?
10	(No response.)
11	SENATOR HUTTO: All right. So as we
12	discussed before, we'll go into executive session
13	after each candidate. We'll do that now. Of
14	course, if you don't know, when we go into executive
15	session we take no action. We just hear matters
16	that might not be able to be heard in open session,
17	but we take no action in executive session. So
18	we'll go into executive session. I imagine it will
19	be very brief, as it will for most of our
20	candidates, and be right back into public session
21	with our next candidate right after this. So if the
22	public would step out in the hall for a second.
23	COMMISSIONER HOWARD: Thank you very
24	much.
25	SENATOR HUTTO: Thank you. You stay.

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27 (Executive session.) 1 SENATOR HUTTO: Thank you. You stay. 2 (Executive session.) 3 SENATOR HUTTO: No action has been taken 4 and we are waiting on our next candidate from 5 District 1 to be screened. We will continue with 6 7 the screening of applicants for the Public Service Commission District 1 with Carolyn L. -- Carolee 8 9 Williams. And I will remind the audience again 10 during her testimony, because other candidates are 11 sequestered, there be no attempts to communicate or 12 communication with candidates who are sequestered 13 and that includes by texting, tweeting or other 14 means of electronic communication. 15 Good morning. 16 MS. WILLIAMS: Good morning. 17 SENATOR HUTTO: All right. We will ask 18 the court reporter to put you under oath. 19 CAROLYN LEONE (CAROLEE) WILLIAMS 20 being first duly sworn, testified as follows: 21 SENATOR HUTTO: All right. We'll turn 2.2 it over to the staff. 23 MS. ANDERSON: Good morning, Ms. Williams. 24 25 MS. WILLIAMS: Good morning.

2.8

MS. ANDERSON: First of all, I'm going
 to give a brief summary of Ms. Williams' educational
 background and her experience.

She is a graduate of Wake Forest 4 University where she received a bachelor of arts 5 She also received her master's in urban and 6 degree. 7 environmental planning from Virginia. She began her career as planner for the Department of Planning and 8 9 Urban Development in Charleston, South Carolina. 10 And since 1992 she became the project manager for 11 the Department of Planning, Preservation and 12 Sustainability for the City of Charleston, South 13 Carolina where she currently works. She is also a 14 member of the American Planning Association, the 15 American Institute of Certified Planners, and the 16 Southeast Sustainability Directors Network. And 17 she's also involved in a number of community 18 organizations.

Ms. Williams, do you have any changes that you would like to make to the Personal Data Questionnaire you submitted with your application? MS. WILLIAMS: No, I do not. MS. ANDERSON: I would request that Ms. Williams' Personal Da -- Personal Data Questionnaire be entered into the record with any

	April 10, 2010
1	29 confidential information being redacted.
2	(EXH. 3, Personal Data Questionnaire for
3	Carolyn Leone (Carolee) Williams, was
4	marked for identification.)
5	MS. ANDERSON: As part of our background
б	investigation of Ms. Williams we obtained a credit
7	check, driver's license and SLED check. For the
8	record, I would like to state that a credit check,
9	driver's license and SLED check revealed no
10	information for Ms. Williams that should be brought
11	to the attention of the subcommittee.
12	Before beginning questioning do you have
13	a brief statement that you would like to make?
14	MS. WILLIAMS: I do. Thank you for this
15	opportunity to meet with your subcommittee. As you
16	may remember is the sound appropriate?
17	SENATOR HUTTO: We're okay.
18	MS. WILLIAMS: Okay. As you may
19	remember, this is the second time that I've met with
20	you. The first time I was impressed with the
21	thoroughness of your process; however, at that point
22	I had no understanding of the political realm in
23	which this process would take candidates.
24	For me, I'm applying for a professional
25	role which I understand lies within your political

30 realm. In that spirit, I want you to know how much
I respect the role that you and your peers play in
this decision-making. You alone have dedicated the
time to listen to us, to review our backgrounds, our
strengths, our test scores and evaluate our
temperament, and for that I'm very appreciative and
respectful.
Over the past three years, since going
through this process before, I thoroughly debated
and questioned my interest in serving on the Public
Service Commission. Clearly I decided that I was
intensely motivated by the work of the Commission
and I want you to hear why.
First, you on the legislature have set
South Carolina on a successful path with both the
Transportation Network Company Act and the
Distributed Energy Resource Act in recent years.
You're currently dealing with legislation regarding
other ways to continue to fund and serve telephone
access in our most rural and most expensive parts of
the State. It's exciting to think of the role that
the Public Service Commission plays in those
judicial decisions and that help to support your
successes in the legislature while also ensuring
that South Carolina has met its basic utility needs

31 in a fair and open and efficient process. 1 2 Second, the work of my profession, conference of planning, provides I think both the 3 experience and the mindset to make a contribution to 4 the Public Service Commission. As a planner for 5 local governments I'm always looking at projections 6 7 for growth, change in needs and the vision for a community for both residents and for businesses. 8 9 From my early days in working with energy and water 10 utilities on the expansion of their services and 11 consideration of the needs within the City of 12 Charleston and as Charleston grew in geographic size and population, those were very interrelated 13 14 decision-making processes. The same was true for 15 transportation planning with recognition and services to both those with and without vehicles. 16 17 To more recently the focus on resilience 18 and the resilience of a community and working with 19 emergency managers with energy and water utility 20 managers on ensuring that whether it's a hurricane 21 or an earthquake or any other disaster that we have 2.2 the redundancy and the ability to respond to that.

And also to my work representing our mayors on the
U.S. Bureau of OSHA Energy Management Renewal Energy
Task Force and looking at long-term capabilities of



	32 CAROLYN LEONE (CAROLEE) WILLIAMS - EX. BY MS. ANDERSON
1	offshore wind with federal waters.
2	Finally, and in the more general sense,
3	I think that the work of the commissioner plays
4	really well into a skill of mine. I relish the
5	challenge of learning new information, evaluating
6	its impact on all of the community, asking tough
7	questions and making the best decisions at the time.
8	Over these three years I've had time to
9	review daily dockets, skimming them, attending some
10	Commission hearings and reviewing some of the web
11	recordings that are now available on the
12	proceedings. I am more and more intrigued with the
13	service on the Commission, but I have to also tell
14	you I am also much more aware of what I don't know
15	but similarly equally motivated to learn what it
16	will need to be to be a good commissioner. Thank
17	you.
18	EXAMINATION
19	BY MS. ANDERSON:
20	Q. Will you please state your name and home
21	address for the record?
22	A. Carolyn Leone Williams, 7 Beverly Road,
23	Charleston, South Carolina 29407.
24	Q. Are you a registered voter?
25	A. Yes, I am.

33 CAROLYN LEONE (CAROLEE) WILLIAMS - EX. BY MS. ANDERSON In which Congressional District are you 1 Q. 2 registered to vote? Congressional District 1. 3 Α. 4 Ο. And I think you covered this already but 5 in case there's anything you left out, why are you interested in serving on the Public Service б 7 Commission? I think I have covered it already. Α. 8 9 Have you attended any hearings at the Q. Commission? 10 11 Α. I have. I went to a public hearing 12 years ago on a rate increase in Charleston. I've been to a hearing that got into the details related 13 to solar and insurance that I found fascinating and 14 15 then was able to follow up and read that directive 16 so -- as well as a few other hearings. 17 Have you read any of the Commission's Ο. 18 decisions? 19 Α. I have. 20 How would you expect to get up to speed Ο. 21 on issues confronting the Commission? 22 Α. It's my understanding that it will 23 involve a lot of reading, I'm sure a lot of talking 24 with other commissioners to gain an understanding, 25 and it's also my understanding that the National

34 CAROLYN LEONE (CAROLEE) WILLIAMS - EX. BY MS. ANDERSON Association of Regulatory Utility Commissioners has 1 an intensive class that would be a big part of 2 what -- where I would gain that knowledge. 3 4 Ο. Please describe your experience or 5 expertise in any of the following areas and include the time that you spent in each -- energy issues, б 7 telecommunications, consumer protection and advocacy, water and wastewater, finance, economics 8 9 and statistics, accounting, engineering or law. The three that I am going to speak to 10 Α. 11 are consumer protection and advocacy, energy and 12 water and sewer. 13 The consumer protection and advocacy -that role, to me, is very aligned with the work of a 14 15 comprehensive planner because our job is to look at 16 those who are both at the hearings and those who 17 cannot be at the hearings. Our job is to look 18 long-term, our -- our job really is to put our minds into the businesses and the residents' minds that 19 20 can participate and not participate in the process. 21 And so that's really my whole career. 22 Energy kind of has spanned the entire 23 career with the early section relating to growth in cities and extension of services and service areas 24 and then more recently in working with SCE&G and 25



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CAROLYN LEONE (CAROLEE) WILLIAMS - EX. BY MS. ANDERSON 1 Berkeley Electric Cooperative on both informational knowledge that they are sharing in trying to get it 2 to both our business and our residential communities 3 4 and also with a challenge program that the City runs 5 that is more businesses where we try to connect some of the great information that's now available from б 7 the electric companies on energy and efficiency and on incentives that are now available. 8

9 Finally, and I mentioned that the Bureau of OSHA and Energy Management and the Renewable Task 10 11 Force representing both of our mayors in recent years, just in working to understand what the impact 12 of offshore wind would be on Charleston, looking at 13 particular areas, understanding the process that 14 15 that task force went through and determining the 16 areas that would be available and put out for bid and now to a potential lead source has indicated 17 18 interest.

Water and sewer. Water and sewer similar to the early days with energy and that has to do with extension of services and, in particular, the reason that the City of Charleston annexed some of -- many of some of the early annexations had to do with sewage treatment plants that are owned by private companies that were failing. So working



1	36 CAROLYN LEONE (CAROLEE) WILLIAMS - EX. BY MS. ANDERSON then with the Charleston water system on bringing
2	those on-line and evaluating whether we could indeed
3	do that for communities that wish to be annexed.
4	And then more recently with resilience, working with
5	Charleston water system and understanding what they
6	and the electric companies are doing to ensure
7	redundancy, to assure ability to withstand certain
8	kinds of weather-related impacts.
9	Q. Are you familiar with the two advisory
10	opinions issued by the Review Committee dealing with
11	attendance at legislative receptions and legislative
12	caucus meetings?
13	A. I am.
14	Q. Have you attended any legislative
15	receptions or any legislative caucus meetings since
16	receiving the advisory opinion?
17	A. I have not.
18	Q. Assume that you are serving on the
19	Commission, and you are approached by someone
20	wanting to discussion a matter that is or may become
21	an issue in a proceeding. How would you handle the
22	situation?
23	A. I would immediately tell them that they
24	need to be talking to someone else, probably the
25	Office of Regulatory Services, and that we can't

37 CAROLYN LEONE (CAROLEE) WILLIAMS - EX. BY MS. ANDERSON 1 have this conversation because of the concern that I might learn something that needs to be shared in an 2 open environment. 3 4 Ο. Can you explain the general rule about 5 ex parte communication? That's what I began to touch on, is б Α. 7 what -- what I perceive is that allowed ex parte communication is incredibly valuable because it 8 allows in a setting like this for everybody to learn 9 10 the same thing at the same time and to learn it from 11 experts and to learn it where you can ask questions 12 and delve into it deeply. What's not allowed is when it's one person who has that information 13 sharing it with the Commissioner, and that's where 14 15 you need to end the conversation immediately. 16 Are you aware that commissioners are Ο. 17 subject to the Judicial Code of Conduct as well as 18 State ethics law? 19 Α. I am. 20 Are you affiliated with any political Ο. 21 parties, boards or commissions that would need to be 22 reevaluated if you are nominated and elected? 23 Α. I am not. Do you belong to any organizations that 24 Ο. 25 discriminate based on race, religion or gender?

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38 CAROLYN LEONE (CAROLEE) WILLIAMS - EX. BY MS. ANDERSON 1 I do not. Α. What is the appropriate demeanor for a 2 Ο. 3 commissioner? 4 Α. To listen respectively, to -- to 5 dedicate yourself to understanding the issues that are in front of them. To both approach the work in б 7 a way that is above any question, whether it is the work or whether it is in your -- the rest of your 8 9 life. Would that apply only while you are on 10 Q. 11 the bench or would that apply seven days a week/24 12 hours a day? Twenty-four hours a day, seven days a 13 Α. week. 14 15 Is there ever a role for sternness or 0. 16 anger with commissioners? 17 Anger for sure not. Sternness, I think Α. 18 it probably depends on your definition of it, but 19 it's important to be clear and -- and to get 20 someone's attention. 21 Have you made any contributions to Ο. 22 members of the General Assembly within six months of 23 filing your application? I have not. 24 Α. 25 Have you made any contributions to Ο. A. WILLIAM ROBERTS, JR., & ASSOCIATES (800) 743-DEPO

39 CAROLYN LEONE (CAROLEE) WILLIAMS - EX. BY MS. ANDERSON 1 members of the General Assembly since filing your 2 application? No, I have not. 3 Α. 4 Ο. Have you sought or received a pledge 5 from any legislator prior to this date? б Α. I have not. 7 Ο. Have you sought or been offered a conditional pledge of support by any legislator 8 pending the outcome of your screening? 9 10 I have not. Α. 11 Ο. Have you asked any third parties to contact members of the General Assembly on your 12 13 behalf before the final and formal screening report 14 is released? 15 Α. I have not. 16 Ms. Williams, you sent an e-mail to me Ο. 17 over the weekend. 18 Α. Yes. 19 And you reported that a friend of Q. 20 yours --21 Right. Α. 22 -- had contacted Senator Campsen. Q. 23 Right. Α. Do you have that e-mail with you? 24 Ο. 25 I do. But I did not -- the reason I Α.

40 CAROLYN LEONE (CAROLEE) WILLIAMS - EX. BY MS. ANDERSON answered no is that I did not request that. 1 2 Can you please explain what happened? Ο. 3 Α. Sure. I'd be happy to. Would you like 4 for me to give you a copy of it? 5 Ο. I have copies. Okay. Okay. My parents were б Α. entertaining a friend of mine who -- and they were 7 telling why I was coming to town again, and they 8 just mentioned it in passing. And what you'll see 9 10 at the top of the e-mail are relatively -- well, I 11 quess it's not the top of the e-mail anymore, but my parents say we mentioned that they would -- that I 12 would be here Sunday night for Monday's interview 13 FYI the following. Hope it does not cause a problem 14 15 but will help. 16 They clearly understood and did not ask 17 this person to reach out. You'll see what follows 18 that a friend of our families went to school with --19 went to Furman with Senator Campsen and she reached 20 out -- she referenced some qualifications but she 21 also did say if you can help in any way I would 22 appreciate it. I wanted to make sure that you knew 23 about it and knew that I was not a party to that 24 request.

25

Q. That you did not request the e-mail or



41 CAROLYN LEONE (CAROLEE) WILLIAMS - EX. BY SENATOR HUTTO 1 any type --2 Absolutely not. Α. 3 Okay. Thank you. Q. 4 Α. Sure. A few more questions. Are you aware 5 Ο. of -- I'm sorry. Are you aware of the 48-hour rule б 7 which prohibits a candidate from seeking pledges for 48 hours after a report has been submitted to the 8 9 General Assembly? Absolutely. 10 Α. 11 Ο. And if elected, would you plan to serve a full term? 12 13 Α. Yes. 14 MS. ANDERSON: I have no more questions, 15 Mr. Chair. 16 EXAMINATION 17 BY SENATOR HUTTO: 18 Thank you for being back again because I Ο. 19 know sometimes when somebody runs for political 20 office or tries to seek a position or in this case a 21 seat on the Public Service Commission and they don't 22 get it the first time they just go away but I -- you 23 know, there's a certain sticktoitness that -- that is required for some of these, so we appreciate 24 25 having you back, and we also take note that you did

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42 CAROLYN LEONE (CAROLEE) WILLIAMS - EX. BY SENATOR HUTTO very well on your exam. 1 2 So being a non-commissioner, how did you 3 go about studying for something that you don't sit 4 for every day? 5 I wish I could bring you my exhibit, but Α. I -- I'm one of those folks that I read every paper б 7 in my hand, I process The Wall (phonetic) and read through it and highlighted it. I also looked at old 8 interviews and looked at questions from your 9 10 interviews that are public so that I could 11 understand what might be the questions being asked. 12 And having been through it it's a little bit easier to anticipate, you know, what the recent successes 13 are that you all have had and that there might be 14 15 questions on that. So really just dedicating the 16 time to focus on the issues and absorb them as much 17 as I could. 18 In the, I guess, last few years we've Ο. 19 had review hearings based on the Base Load Review Act and what do you see the role of the public in 20 21 that? Do they have a right to come and testify at a 22 hearing? 23 Oh, abso -- the public always has a Α. 24 right to that. You know, I think I was thinking a

25 little bit more deeply that I know the public is

AV	N R A. WILLIAM ROBERTS, JR., & ASSOCIATES (800) 743-DEPO scheduledepo.com	Page 43
25	Q. Ms. Williams, what would you say has	
24	BY REPRESENTATIVE FORRESTER:	
23	EXAMINATION	
22	REPRESENTATIVE FORRESTER: Thank you.	
21	any questions? All right. Mr. Forrester.	
20	Any other members of the committee have	
19	exam that you probably could. Thank you.	
18	SENATOR HUTTO: No, I can see from your	
17	detail, but	
16	was going to be financed. I can go into more	
15	savings in the long term because of the way that it	
14	the business and the residential public would have	
13	biggest picture that was the goal that the public	
12	there's savings overall. I think that was in the	
11	case a nuclear power plant comes on-line that	
10	front. And then when the when the in this	
9	kind of program but a way where you're paying up	
8	that not a literal capital cost pay as you go	
7	this but, in general terms, I think it was more	
6	that I'm I'm learning more every day about	
5	A. Well, in general terms, I think it was	
4	Q. And what are those goals?	
3	in in play with the Base Load Review Act.	
2	bills, but I also understand there's broader goals	
1 1	LEONE (CAROLEE) WILLIAMS - EX. BY REPRESENTATIVE FORRE very concerned about the impact to their utility	ESTER

	Scieeni	
CAF	OLYN 1	44 LEONE (CAROLEE) WILLIAMS - EX. BY REPRESENTATIVE FORRESTER been your most I guess your most accomplishment
	2	during your planning career? What would you say was
	3	the highlight of your career?
	4	A. I didn't anticipate that one, but that's
	5	a great question. You know, it's it's hard
	6	looking back on it to be one thing. It just
	7	seeing the way that a community has changed in 30
	8	years is just a real honor to have been at the table
	9	and seen a variety of issues that our council has
	10	dealt with and our the community has dealt with.
	11	I'm really struggling to give you one example.
	12	If you make me I'll pick one but but,
	13	you know, when I think about the relatively small
	14	60,000 person community that Charleston was at the
	15	time that I started working and you think about the
	16	diversity of the community that we have today and to
	17	have seen the changes, to both balance the
	18	preservation of some aspects of Charleston but to
	19	to look at the economic growth that the greater
	20	Charleston area has, there I'm thinking about I'm
	21	thinking about Boeing but I'm also thinking about
	22	the Wind Turbine Testing Facility and the fact that
	23	there's some that's not my accomplishment but
	24	that is a real coup for South Carolina to have some
	25	of the investments that they have in onshore and

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AROLYN 1	45 LEONE (CAROLEE) WILLIAMS - EX. BY REPRESENTATIVE FORRESTER offshore wind testing. I have to say it's really
2	just having a seat at that table and nudging little
3	parts of it along. Do you want to make me say
4	something?
5	Q. No, that's all right.
6	A. Okay. Thank you.
7	Q. My last question, tell me why you're the
8	most qualified for this position.
9	A. Wow. That that is a hard one. Here,
10	as of last night, my my thought about the work
11	that the Public Service Commission does and what I
12	understand about it is that three years ago I
13	understood perhaps the circumference of the issues
14	if you think physically about something like an
15	iceberg. Now I have an understanding of the depth
16	as I've learned more.
17	The reason I'm the most qualified is
18	because I'm not the most qualified, but I have a
19	drive to learn about the interior of that iceberg.
20	That's really my my answer.
21	REPRESENTATIVE FORRESTER: Thank you.
22	SENATOR HUTTO: Okay. What we'll do is
23	ask you to stay, ask everybody else to leave for a
24	minute and we'll go into executive session and we'll
25	be here briefly and then we'll move ahead.

1	46 (Executive session.)
2	SENATOR HUTTO: All right. It's 10:45.
3	We will call this screening back to order and we'll
4	move now from District 1 to District 3 for the
5	screening of Comer H. "Randy" Randall, III.
б	Commissioner, good morning.
7	COMMISSIONER RANDALL: Good morning.
8	SENATOR HUTTO: First we'll ask you to
9	be sworn in.
10	COMER H. "RANDY" RANDALL, III
11	being first duly sworn, testified as follows:
12	SENATOR HUTTO: And we'll turn this over
13	to staff for some questions and then the committee
14	will follow up with some questions and give you an
15	opportunity to speak throughout this process as
16	well.
17	MR. GOLDEN: Mr. Chair, I'd like to take
18	this opportunity to summarize Commissioner Randall's
19	background and experience. Commissioner Randall is
20	a graduate of Presbyterian College where he received
21	his bachelor of science in 1975. In 1979
22	Mr. Randall received his master's in education from
23	the University of Georgia. Mr. Randall has served
24	on the PSC since 2013.
25	Before serving on the Commission

## State Regulation of Public Utilities v Screening Subcommittee

47 Commissioner Randall worked in a number of different 1 2 capacities, most recently served on the Piedmont Municipal Power Agency Board from 2004 to 2014, 3 served as chairman of that for three years. During 4 that time Commissioner Randall was also the mayor of 5 From 20 -- 2006 to 2013 he was also on the 6 Clinton. 7 American Public Power Association Policy Makers Council, served as the national chair on their board 8 9 of directors from 2011 to 2012. He was also the 10 chairman of the Clinton/Newberry Natural Gas 11 Authority from 2003 to 2013. And before becoming 12 mayor of Clinton Mr. Randall served on City Council 13 of Clinton from 1993 to 2003. 14 Do you have any amendments to your 15 Personal Data Ouestionnaire? 16 COMMISSIONER RANDALL: No, sir. 17 MR. GOLDEN: I would request at this 18 point that Commissioner Randall's Personal Data 19 Ouestionnaire be entered into the record with any 20 confidential information redacted, and I would 21 request also that the candidate's annual review by 2.2 the Public Utilities Review Committee, since the 23 candidate's prior screening, also be entered into 24 the record. 25

(EXH. 4, Personal Data Questionnaire for

C0 1	48 MER H. RANDY "RANDALL" RANDALL, III - EX. BY MR. GOLDEN Comer H. "Randy" Randall, II, was marked
2	for identification.)
3	(EXH. 5, Performance Evaluation for
4	Comer H. "Randy" Randall, III, was
5	marked for identification.)
6	MR. GOLDEN: As part of our background
7	investigation of the candidate we obtained a credit
8	check, driver's license and SLED check. For the
9	record, I would also like to state that none of
10	those turned up anything negative about Commissioner
11	Randall or that should be brought to the attention
12	of the subcommittee.
13	Before I begin questioning, Commissioner
14	Randall, would you like to make a brief statement?
15	COMMISSIONER RANDALL: Just I'm glad to
16	be here, I've enjoyed serving for the last three
17	years and so it's a very worthy cause so I think
18	I'm looking forward to getting on with this.
19	MR. GOLDEN: Thank you.
20	EXAMINATION
21	BY MR. GOLDEN:
22	Q. Please state your name and home address
23	for the record.
24	A. Comer H. "Randy" Randall, III. 306 West
25	Maple Street, Clinton, South Carolina.

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CC 1	49 MER H. RANDY "RANDALL" RANDALL, III - EX. BY MR. GOLDEN Q. Are you a registered voter?
2	A. I am.
3	Q. Which Con which Congressional
4	District do you vote?
5	A. 3rd District.
6	Q. Could you describe an ordinary workday
7	for you at the Commission?
8	A. Wow. There's no ordinary days, you
9	know. We we we start well, I start every
10	day with about an hour commute from Clinton to
11	Columbia but, you know, different days require
12	different things, but every day starts with a lot of
13	reading, a lot of we have a lot of testimony, a
14	lot of different things that we get sent on each
15	case. I used to take a lot of time our our
16	staff is a very good staff, so every day just about
17	I talk with somebody on either the legal side or the
18	technical side of our staff about upcoming cases
19	that are about things that we are talking about.
20	So it's it's you know, we
21	different days Wednesday is our meeting day but
22	we have meetings where we get briefed on other days
23	and then we have lots of days where we will have
24	anything from an ex parte hearing to a variance
25	hearing on rate cases and that kind of thing. So

50 COMER H. RANDY "RANDALL" RANDALL, III - EX. BY MR. GOLDEN every day is a full day. 1 2 Thank you. You mentioned a little bit Ο. about your contact with staff. What kind of role do 3 4 they play with the commissioners? 5 Staff -- you know, our staff is -- is Α. excellent. They -- you know, they're there б 7 basically to answer questions but also to -- they brief us on things that -- especially on procedure. 8 But, also, we've got a great technical staff, people 9 10 that have different expertise in different areas, 11 you know, everywhere from electricity to gas to the 12 telephone. So we interact a good bit with our 13 staff. Thank you. If you are approached by 14 Ο. 15 someone wanting to discuss a matter that may or 16 likely may become an issue before the Commission, 17 how would you go about that? 18 Well, first of all, tell them that we Α. 19 can't talk about that. You know, ex parte 20 communications are prohibited. So we shut it down 21 immediately; however, if something does come up, if

22 somebody does say something, you have to report it.
23 Of course, we make sure that our staff knows it has
24 to go on the record, Public Utility Review Committee
25 needs to know about it especially if it's one that's



51 COMER H. RANDY "RANDALL" RANDALL, III - EX. BY MR. GOLDEN intentional. So all parties need to be notified 1 2 about that. Are you familiar with the two advisory 3 Q. 4 opinions issued by PURC concerning attendance at 5 legislative functions and caucus -- legislative caucus meetings? 6 7 Α. Yes, I am. Have you attended any legislative 8 Ο. reception, function or caucus meeting? 9 10 No, I have not. Α. 11 Ο. Is it appropriate for a commissioner to 12 express a personal opinion on an issue within the utility industry provided that that expression is 13 meant to educate the public and the issue is not 14 15 pending before the Commission at the time the 16 opinion is expressed? 17 My personal opinion is I don't express Α. 18 an opinion on -- on anything. Being under the 19 judicial canons we try to make sure that everything 20 that we do is -- is subject to that. 21 Thank you. What is the appropriate Ο. demeanor for a commissioner? 22 23 Α. I think we always try to treat everybody 24 like we want to be treated. You know, we want to be 25 very professional. We have -- in our hearings that

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C0 1	MER H. RANDY "RANDALL" RANDALL, III - EX. BY MR. GOLDEN we have on the merits, in our ex partes, in in
2	our public public hearings that we have for
3	citizens to express themselves. I think we all try
4	to maintain a very professional attitude, a very
5	listening attitude. We do a lot of listening and
6	not much talking.
7	Q. Does that only apply in the hearing
8	setting or is that seven days a week?
9	A. Seven days a week.
10	Q. Is there ever a role for sternness or
11	anger amongst commissioners?
12	A. Not from me. I've been in in this
13	business you know, we there are times when the
14	Chair has to be firm and move a meeting along, but I
15	don't think there's ever a sternness or anger
16	necessary or shown.
17	Q. I know it's only been your first term,
18	but could you please describe the three biggest
19	issues that have come before the Commission or may
20	even be pending right now?
21	A. Well, you know, as far as our big
22	issues, we we've recently and this is all I'll
23	say about this one because we we've recently had
24	to rule on competition in the telecom industry, but
25	that's still under appeal so that's as much as I'll

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C0 1	53 MER H. RANDY "RANDALL" RANDALL, III - EX. BY MR. GOLDEN say about that.
2	Of course, we're ongoing with also
3	with the when you're talking about the Base Load
4	Review Act with the nuclear plants that are at V.C.
5	Summer. And, of course, we had the issue come up
б	with the transportation network carrier that we had
7	a ruling on and then we but the General Assembly
8	made a put the law in where they wanted it to be
9	so so now we're out of that one.
10	Q. Thank you. Following up on Number 2 I
11	think you mentioned, what is the purpose of the Base
12	Load Review Act?
13	A. The Base Load Review Act basically is a
14	way to finance a new base load generation. You
15	know, we new base load, which is nuclear or coal,
16	the basically the the way to for utilities
17	to recover cost pre-construction or cost of
18	construction while the plant is being constructed
19	but has not yet been put into service. If it's the
20	nuclear plant, there's also some pre-construction
21	costs that can be recovered in that and also since
22	Carter (phonetic) rights, that type of thing.
23	You know, it's base load plants, you
24	know, can be either nuclear or coal; but if it's
25	coal, it's got to be the best available technologies

	April 16, 2016
CC 1	54 MER H. RANDY "RANDALL" RANDALL, III - EX. BY MR. GOLDEN by as set by EPA. It's got to run at least 70
2	percent capacity to be at least 350 megawatts.
3	Q. Is there a way that the public may
4	participate in proceedings under the Base Load
5	Review Act so that their voices are heard?
6	A. Well, everything we that do, every
7	proceeding that's that we have merits hearings
8	or any we generally have in the merit hearing a
9	time for public input. We on big on rate
10	cases, that kind of thing, we have public hearings
11	around the State so that folks who are able to
12	express their opinions.
13	Q. As a commissioner, what is your
14	obligation in weighing the interest of the public
15	versus that of an investor-owned utility?
16	A. Well, we we have to make sure that
17	the rate payer is considered, we also have to make
18	sure that the financial stability of our utilities
19	and how that interacts also with the economic
20	development of our state. So we there's a
21	balance there and it's pretty closely defined by
22	law, I think.
23	Q. Thank you. Have you sought or received
24	a pledge of any legislator?
25	A. No.

55 COMER H. RANDY "RANDALL" RANDALL, III - EX. BY SENATOR HUTTO Have you sought or been offered a 1 Q. 2 conditional pledge of support by any legislator pending the outcome of this screening? 3 4 Α. No, sir. Have you asked any third parties to 5 Ο. contact members of the General Assembly on your 6 7 behalf? Α. No. 8 9 Are you aware of any friends or Q. colleagues that maybe attempted to contact any 10 11 members of the General Assembly --12 Α. No. -- on your behalf? 13 Q. 14 Α. No. Are you familiar with the 48-hour rule? 15 Ο. 16 Α. Yes. 17 Ο. Do you plan to serve a full term if you 18 are reelected? 19 Α. Absolutely. 20 MR. GOLDEN: Thank you. Mr. --21 Mr. Chair, I have no further questions. SENATOR HUTTO: Thank you. 22 23 EXAMINATION 24 BY SENATOR HUTTO: 25 Commissioner Randall, you have served on Ο.

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,	Screening Subcommittee April 18, 2016
COM 1	56 ER H. RANDY "RANDALL" RANDALL, III - EX. BY SENATOR HUTTO the nuclear subcommittee at NARUC?
2	A. Right.
3	Q. And what is the general view of the
4	future of nuclear among some of your commissioners
5	that don't have a plant being built?
6	A. It's interesting because everybody looks
7	to South Carolina on a lot of issues, especially
8	nuclear. And I think it sort of goes depends on
9	what section of the country that you're in, but I
10	think most people know that when you're looking at
11	the at the EPA's Clean Power Plan that the way
12	to, you know, meet the carbon objectives, especially
13	base load power, is nuclear. And there there's
14	lots of I think one of the things I tell other
15	folks is I'm I'm glad to be where we've got 48
16	percent nuclear because we're we're going to have
17	the lights on when other people are going to be
18	sitting in the dark, I think. But at the same time
19	you've got the issue of nuclear waste, and part of
20	the subcommittee that I'm I'm dealing with is
21	deals with nuclear waste and and what's going to
22	happen with Yucca Mountain and if there are other
23	states that want to be you know, take the nuclear
24	waste. And there's we have a we have a call
25	at a briefing about once every other month about



	ing Subcommutee April 18, 2010
NER H. 1	57 RANDY "RANDALL" RANDALL, III - EX. BY REPRESENTATIVE FORRESTER that.
2	So different different areas of the
3	country have different ideas, but I think that's one
4	of the ways that we're going to be able to meet
5	EPA's.
6	Q. Do you think that people are waiting to
7	see the outcome of the construction of the new
8	facilities?
9	A. Yeah, I think so. I think that's
10	that's a very fair statement to say folks are
11	trying you know, nobody's built a nuclear plant
12	in 30 years, so this is a pretty big deal nationwide
13	for South Carolina and Georgia at Vogtle to be
14	putting these plants in operation. You know, while
15	they you get big public publicity on when they
16	have delays, you know, things that folks have to
17	remember is that it is the first time there's been
18	one built in 30 years. So it's a pretty normal
19	situation in the process, I think.
20	SENATOR HUTTO: Any other committee
21	members have any questions for the Commissioner?
22	REPRESENTATIVE FORRESTER: Thank you.
23	EXAMINATION
24	BY REPRESENTATIVE FORRESTER:
25	Q. Just along those same lines, what are

Screer	April 16, 2016
COMER H. 1	58 RANDY "RANDALL" RANDALL, III - EX. BY REPRESENTATIVE FORRESTER you hearing of what's being done at the national
2	level about hacking the potential of hacking into
3	our nuclear power system grids?
4	A. We have sessions just about every time
5	we have a meeting with NARUC or SEARUC about cyber
6	security, and it sort of ebbs and flows to me with
7	different people. I think everybody in fact, we
8	just got just got a book that I bought that was
9	talking about the national grid, and I think the
10	integrity of the grid is where people are mostly
11	worried about.
12	In my experience from from before
13	when I was dealing with APPA I know a lot of
14	utilities the investor owns and the the public
15	power utilities have all invested pretty heavily in
16	separate ways on cyber security. And I think the
17	big push now is to make sure that we've got
18	everybody coordinated because, you know, you don't
19	want to have a cascading effect when something goes
20	down as you get hacked in the Northeast and it we
21	had that happen when it spread to Canada and spread
22	down the East Coast because we you know, all
23	those electrons are belong to everybody, so it's
24	a it's a serious very serious issue and I
25	think the connectivity is what what I hear mostly

Scieen	April 16, 2016
COMER H. 1	59 RANDY "RANDALL" RANDALL, III - EX. BY REPRESENTATIVE FORRESTER in our meetings, about making sure that the right
2	hand knows what the left hand is doing.
3	Q. What would you say has been your
4	greatest accomplishments in your three-year tenure
5	on the Commission?
6	A. Wow. Well, I think learning a whole lot
7	more about what we're doing. You know you know,
8	this is a very complex business and I think that,
9	you know, Act 175 I think one of the goals was to
10	have an educated commission and I think that our
11	our group has done a good job at having
12	commissioners that know what they're doing.
13	So I and I think I guess as far as
14	accomplishments goes, I think the moving along, you
15	know, making sure that we are being fair in every
16	issue that we take up, making sure that we are
17	hearing the people of South Carolina and especially
18	the rate payers, but maintaining the financial
19	integrity of our utilities, too.
20	Q. There is no one opposing you, but can
21	you tell me why you're most qualified in that
22	position?
23	A. Well, you know, I was I was looking
24	at that, thinking about that, and I think I think
25	my prior experience I'm the only commissioner

Scieeni	ng Subcommutee April 16, 2010
COMER H. 1	60 RANDY "RANDALL" RANDALL, III - EX. BY REPRESENTATIVE FORRESTER that has ever been actually in the business on the
2	delivery side of of a utility, so I bring that to
3	the table, I think. And I think that, you know, my
4	experience having been on that side of it with
5	electricity, with gas, with water and sewer, with
6	waste collection as well gives me a little bit
7	different insight to things.
8	And I was fortunate enough to be able to
9	be involved with American Public Power with
10	policymakers, which is elected officials on the
11	municipal level from all over the country. And
12	we we engaged in a lot of dialogue with people
13	from every area of the country and, you know, had
14	the I wouldn't I was not considering a good
15	portion at the time, but when I was having to
16	testify at EPA with Ms. McCarthy on the on the
17	Mack rules it gave me a real background in what
18	we're doing. And now that we've had I think one
19	of the one of the good things about the way we
20	elect our commissioners is having a test, having a
21	public hearing like this and people from all over
22	the country wonder about that.
23	But I think you know, it really makes
24	us focus on not only the issues that we've got going
25	on at any particular time but it also helps us focus

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COM 1	61 ER H. RANDY "RANDALL" RANDALL, III - EX. BY SENATOR HUTTO and on the you know, just knowing the
2	business, knowing the rules of the game and knowing
3	the details in everything that we do.
4	REPRESENTATIVE FORRESTER: Thank you.
5	EXAMINATION
6	BY SENATOR HUTTO:
7	Q. Let me ask you. What do you see is the
8	future of renewables and the use of renewables in
9	our energy mix?
10	A. Well, I mean, we're going to have
11	renewables with the with Act 236 coming in. It's
12	goings to be a balance, you know. We're talking
13	about and I know you're keeping up with
14	everything with we're doing all of our
15	utilities electric utilities are doing have
16	really focused pretty heavily on community solar,
17	but also working on the part of Act 236 the small
18	scale solar, you know, the residential has got to be
19	20 kilowatts or less. So I think it's going to be
20	there.
21	Still you know, we're still having
22	hearings on interconnection you know, the
23	interconnection agreement I think is probably
24	is has been a work in progress. And I think that
25	a lot of people are are looking at that and

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ſ	Screening Subcommittee April 18, 2016
COM 1	62 ER H. RANDY "RANDALL" RANDALL, III - EX. BY SENATOR HUTTO it's it's beginning to get some momentum. I
2	think everybody was a little nervous about how it
3	was going to start and how and I think right now
4	in South Carolina solar is going to be our our
5	first real wave of renewables since we haven't
6	really had a chance to to do any wind research
7	too much. We've done some, but it's really solar is
8	where it's going to be. So I think we're probably
9	at two percent right now, I think that's probably
10	going to grow.
11	But you you know, it's one of the
12	things that I think everybody agrees on is that I
13	think communication is is a must when you're
14	talking renewables. I don't think you can you
15	know, you can't promote solar energy as base load
16	power when you're talking in the difference of a 17
17	percent capacity factor as opposed to a 90, 95
18	percent capacity factor.
19	So I think that's communication,
20	marketing, how it's done and how it works into the
21	system and there's it's when you're talking
22	about bidirectional meters and that kind of thing,
23	it's a really it's really a complicated deal.
24	SENATOR HUTTO: Anybody else have any
25	questions?

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1	(No response.) 63
2	SENATOR: All right. At this time we'll
3	ask you to stay, ask everybody else to leave for a
4	quick executive session and we'll be back on the
5	record in just a minute.
6	(Executive session.)
7	SENATOR HUTTO: Okay. We will lift the
8	veil. No action was taken in executive session.
9	We're now back in public session, continuing with
10	the screening of the Fifth District for Public
11	Service Commission and we have before us William J.
12	Billy Hagner.
13	Good morning.
14	MR. HAGNER: Good morning.
15	SENATOR HUTTO: We first ask that the
16	court reporter swear you in.
17	WILLIAM J. HAGNER
18	being first duly sworn, testified as follows:
19	SENATOR HUTTO: Okay. We're going to
20	proceed with some questions by the staff in which
21	you'll be given an opportunity to speak and address
22	us about why you want to serve, and then after that
23	we may have some follow-up questions. So I'll turn
24	it over to the staff.
25	MR. GOLDEN: Mr. Chairman, I'd like to

64 summarize Mr. Hagner's experience before I get into 1 2 the questioning. Mr. Hagner began his education at the 3 University of Arkansas before transferring to 4 Louisiana Tech University in 1966. He went on to 5 graduate with a bachelor's of science degree in 6 7 mechanical engineering in 1970. He also took additional graduate courses in mechanical 8 9 engineering toward his master degree. He would 10 later return to school in 1988, getting his MBA from 11 Winthrop University in 1990. 12 Mr. Hagner began his professional career 13 in August of '70 in the engineering department of 14 International Paper Company. In 1974 he was 15 transferred to the power utilities department, starting as a supervisor, and then became assistant 16 17 plant -- power plant superintendent. 1981 18 Mr. Hagner was hired to supervise the power/public 19 utilities department for Bowater, and then in 1990

20 he was promoted to the power utilities

21 superintendent/manager -- manager role where he 22 worked until his retirement in 2010.

All of that came from your Personal Data Questionnaire. Do you have anything you need to amend to your PDQ?

1	65 MR. HAGNER: No. That sounds accurate.
2	MR. GOLDEN: Okay. I would request at
3	this time that Mr. Hag Mr. Hagner's Personal Data
4	Questionnaire be entered into the record, also
5	redacting any confidential information.
б	(EXH. 7, Personal Data Questionnaire for
7	William J. Hagner, was marked for
8	identification.)
9	MR. GOLDEN: As part of our background
10	investigation of the candidate we obtained a credit
11	check, driver's license and SLED check. For the
12	record I would like to state that the credit check,
13	driver's license, SLED report none of it showed
14	anything negative and/or or that should be
15	brought to the attention of this committee.
16	Before we begin the questioning is there
17	a short statement you'd like to make to the
18	committee?
19	MR. HAGNER: Nothing other than I
20	appreciate the opportunity to to apply for this
21	position and it has been a learning experience, not
22	only about the what all the PSC does and ORS but
23	also how the Government the legislature works and
24	a few of those things that I really haven't thought
25	about too much until I got a little more involved in

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1	66 WILLIAM J. HAGNER - EX. BY MR. GOLDEN it. So it's been a learning experience on my part.
2	Appreciate it.
3	MR. GOLDEN: Thank you.
4	EXAMINATION
5	BY MR. GOLDEN:
6	Q. Please state your name and your address
7	for the record.
8	A. William J. Hagner. 1901 Voyager Road in
9	York, South Carolina.
10	Q. Are you a registered voter?
11	A. Yes, I am.
12	Q. Which Congressional District are you
13	registered?
14	A. Fifth.
15	Q. Why are you interested in serving on the
16	Public Service Commission?
17	A. Well, I guess, first of all, my entire
18	background has been in the power utilities business.
19	I feel like it was a it's a pretty good pretty
20	good qualification to be on the Public Service
21	Commission.
22	I've been in power generation where we
23	generate electricity on an industrial level. I've
24	been in coal generation, which is most most of
25	the heavy industry has, especially the paper

## State Regulation of Public Utilities v Screening Subcommittee

1	67 WILLIAM J. HAGNER - EX. BY MR. GOLDEN industry. I've got experience in electrical
2	distribution systems, experience in water systems,
3	treating water raw water and making high quality
4	processed water. Also biomass steamer biomass
5	steam production, also waste treatment. I've got
6	experience in electrical contracts and gas
7	contracts, so that's kind of where my background is
8	coming from, so I would feel like those
9	qualifications would be a good a good set for the
10	PSC but also add more diversity in the way of work
11	experience on the Commission. So that's that's
12	my main reason for that.
13	I also what kind of brought it to my
14	attention originally I guess in our area we had been
15	having some issues with I guess some drinking water
16	and quality and service issues that I'm not involved
17	in, I'm not on the system, but I did hear a good bit
18	of the conversation on that and all the news. But
19	that's kind of what made me start thinking in this
20	direction.
21	Q. As a follow-up to that have you attended
22	any hearings at the Commission?
23	A. I attended one of the ex parte it was
24	on an IOP you had about a month ago.

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Have you read any of the Commission's Q.

7

WILLIAM J. HAGNER - EX. BY MR. GOLDEN decisions? A. I can't say that I have read any of the decisions. Q. Can you describe your experience -- you just did a little bit, but a little bit more about your expertise in the following, be it energy

8 wastewater issues, finance -- any of those under the 9 statute? And you just talked a little bit about 10 your engineering background, but just a little bit 11 more?

issues, telecom, consumer protection, water and

12 Yes, sir. As far as my engineering Α. 13 background I was in the plant engineering department 14 for several years and handled both small and larger 15 projects, probably the largest project was in the 5 16 to \$6 million range but it was several years ago. 17 Here again, on all my -- I didn't stay in 18 engineering very long, just went into operations, 19 but it was more what I would like to do so I have, 20 like, been around urban generators, steam 21 generators, I was in biomass and all of that. All 22 my vocation has been responsible for the treating of 23 water and waste treatment.

24As far as telecommunications, I really25don't have any experience in transportation, nothing

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## State Regulation of Public Utilities v Screening Subcommittee

69 WILLIAM J. HAGNER - EX. BY MR. GOLDEN 1 there. As far as I guess accounting and economic type things, I've had several -- I probably put 2 three or four economic type classes both in the 3 4 engineering and MBA program. 5 And as a power utility manager quote responsible for a budget, anywhere from a \$50 б million budget to a lot of different things. And we 7 were responsible for the maintain -- operation and 8 we had to have a certain -- any kind of project or 9 10 anything we would properly return on the investment. 11 And our business was very competitive commodity type 12 product we sold, so it was very competitive and was 13 very important that we operated officially and knew 14 what our costs were. 15 Thank you. Are you familiar with the Ο. 16 two advisory opinions issued by the Public Utility 17 Review Committee addressing attendance at 18 legislative functions and legislative caucus meetings? 19 20 Α. Yes, I am. 21 Have you attended either of those or any Ο. 22 of those? 23 Α. No, I have not. Thank you. What role does the Public 24 Ο. 25 Service Commission play in establishing the service

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70 WILLIAM J. HAGNER - EX. BY MR. GOLDEN 1 territory for cable companies in South Carolina? Cable companies in South Carolina. I 2 Α. 3 can't say that I'm for sure on that, but I do know 4 that they -- when you get into the competitive side of it it's not as involved as the noncompetitive 5 side. б 7 Ο. Okav. What's South Carolina's electric generation mix? 8 9 Α. What they actually produce? I think 10 around 55 percent nuclear, 25 coal and around ten is the gas. And the rest is either hydro and renewable 11 12 type biomass, solar. I think that's the ... 13 Thank you. Assume that you are serving Q. on the commission and you're approached by someone 14 15 who wishes to discuss a matter that is pending or 16 possibly could become pending before the Commission. 17 How would you handle that? 18 First of all, you know, I would -- as Α. 19 soon as I could I would tell him -- I assume I would tell him immediately I could not discuss anything, 20 21 only -- only upcoming general that could be -- only 22 at an upcoming event. I guess depending upon -- I 23 know there was two ways, one could be an 24 inadvertent -- inadvertently type communication and 25 another one I quess would be more of a blatant type

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1	71 WILLIAM J. HAGNER - EX. BY MR. GOLDEN communication.
2	I think in either case I would have to
3	report it, probably need a little more guidance on
4	what to do after that, but I do know it's not
5	allowable and go from there.
6	Q. Are you aware that commissioners are
7	bound by the Judicial Code of Conduct?
8	A. Yes, I am.
9	Q. Are you affiliated with any political
10	parties, boards or commissions that would need to be
11	reevaluated if you're nominated and elected?
12	A. I am on a gas authority board in York
13	County. I feel like it would have to be evaluated
14	because the PSC does enforce and review safety
15	performance or the safety parts of the pipeline
16	system. I am a precinct chairman in my precinct as
17	we evaluate that. And then I also am a pole manager
18	for a precinct, electric, but I don't think that
19	would be a problem.
20	Q. Would you be willing to resign any of
21	those if you were elected?
22	A. Yes, I would.
23	Q. Okay. Do you belong to any
24	organizations that discriminate based on race,
25	religion or gender?

	72
1	WILLIAM J. HAGNER - EX. BY MR. GOLDEN A. No, I don't.
2	Q. And what is the appropriate demeanor for
3	a commissioner?
4	A. I think a commissioner should be very
5	calm is one thing, should be attentive, should treat
6	people with respect, treat people like you want to
7	be treated.
8	Q. Is there ever a role for sternness or
9	anger from commissioners?
10	A. I would not think anger I know not
11	anger. Sternness I would think could be not
12	knowing what all the situations, I think there could
13	be situations where you did have to be stern.
14	Q. Can you tell me what what is
15	cross-subsidization amongst energy classes?
16	A. Cross-subsidization?
17	Q. Mm-hmm.
18	A. I don't energy classes, I don't
19	remember reading something on that. I'm not
20	familiar.
21	Q. Did you make any contributions to
22	members of the General Assembly within six months of
23	filing your application?
24	A. No, I have not.
25	Q. Have you made any contributions to

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1	73 WILLIAM J. HAGNER - EX. BY SENATOR HUTTO members since filing your application?
2	A. No.
3	Q. Have you sought or received a pledge of
4	any legislator to date?
5	A. No, I have not and I haven't asked
6	anybody to seek a pledge as far as anything like
7	that.
8	Q. So nobody on your behalf is trying to do
9	that?
10	A. No.
11	Q. Are you familiar the 48-hour rule on
12	pledging which prohibits you from seeking pledges
13	until 48 hours after the report of the Public
14	Utility Review Committee becomes public?
15	A. Yes, I'm aware of that.
16	Q. If elected, would you serve a full term?
17	A. I certainly would.
18	MR. GOLDEN: Thank you. I have no
19	further questions.
20	EXAMINATION
21	BY SENATOR HUTTO:
22	Q. How did you find out about the fact that
23	there was going to be an availability to run for
24	this? Were you recruited, did you read it in the
25	newspaper? How did you learn about it?

1	74 WILLIAM J. HAGNER - EX. BY SENATOR HUTTO A. I had a person in the I guess in the
2	community that asked me if I would be interested.
3	She thought I would be interested in running.
4	Q. And on your questionnaire it says that
5	you went to APGA Annual Conferences for several
6	years. What is APGA?
7	A. American Public Gas Association.
8	Q. Okay. And also on your questionnaire it
9	indicated that you are a company representative to
10	the South Carolina Energy Users Committee. What is
11	that?
12	A. They represent industry and the State on
13	such things as rate cases our biggest for our
14	company our biggest thing was the electric side, so
15	they would keep us abreast of anything coming down
16	the pipe on changes in the electrical pricing and
17	rules, things like that, and they would also we
18	would as a group represent try to look at the
19	industry's interest.
20	Q. And how you've been retired for how
21	long?
22	A. Right at five years.
23	SENATOR HUTTO: Five years. Any other
24	representatives have any questions?
25	REPRESENTATIVE FORRESTER: Yes.

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	75 WILLIAM J. HAGNER - EX. BY REPRESENTATIVE FORRESTER
1	SENATOR HUTTO: Mr. Forrester?
2	REPRESENTATIVE FORRESTER: Thank you,
3	Mr. Chair.
4	EXAMINATION
5	BY REPRESENTATIVE FORRESTER:
6	Q. Mr. Hagner, in your experience most
7	of it I think was from industry; correct?
8	A. Yes, sir.
9	Q. You mentioned that you had contract
10	experience and I guess that was from an industry
11	perspective as well? Negotiating with
12	A. Yes. Not necessarily you have some
13	negotiating or also following the rules of the
14	contract. As far as the electrical contract there's
15	several different types, there were things from real
16	pricing to time of day and that kind of stuff. We
17	had gas contracts and had rules we had to follow as
18	contracts.
19	Q. I believe you indicated that you
20	presently serve as a board member of the York County
21	Natural Gas Authority?
22	A. Yes.
23	Q. What's been what would you say has
24	been your greatest accomplishments in that role?
25	A. In which role was that?

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1	76 WILLIAM J. HAGNER - EX. BY REPRESENTATIVE FORRESTER Q. With the gas utility?
2	A. The gas? I guess being in the
3	industry there was an industry and I guess a
4	monopoly type company. You have to look awful a
5	lot stronger, in my opinion, on the industry side on
6	cost. I guess my interest has been on the gas side
7	of it, was trying to be as efficient as possible at
8	the same time looking at your reliability, your
9	dependability, and your long-range planning ability
10	to have gas available. I'd say that's probably the
11	biggest thing that I've been trying to emphasize,
12	would be the cost control.
13	Q. So tell me, based on your experience,
14	why you would be the most qualified for this
15	position.
16	A. Well, I feel like that I here again,
17	I have experience in doing a lot of the functions
18	that y'all that the PSC regulates, looks at from
19	the dependable dependability side of it, the
20	long-range side of it. And the position I was I
21	was in I understood the importance of both of them.
22	I just feel like having someone that has actually
23	been on the generation side of it, production side
24	of it would be a plus to go along with the other
25	other seven to eight commissioners, or six

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1	77 commissioners, to get a little bit more diversity on
2	the board and having people that are not involved in
3	the actual operation of that type of equipment.
4	REPRESENTATIVE FORRESTER: Thank you.
5	SENATOR HUTTO: Any other questions?
б	(No response.)
7	SENATOR HUTTO: All right. We'll ask
8	you to stay for a minute. We'll ask everybody to
9	step out so we can be in brief executive session and
10	we'll be back.
11	(Executive session.)
12	SENATOR HUTTO: We're going to go back
13	on the record and continue with screening of the
14	Public Service Commission and we're still on
15	District 5 and we have with us now Commissioner
16	Swain E. Whitfield.
17	Commissioner Whitfield, will you be
18	sworn in by the court reporter.
19	SWAIN EDGAR WHITFIELD
20	being first duly sworn, testified as follows:
21	SENATOR HUTTO: All right. We are going
22	to turn this over to staff where you'll be asked
23	some questions where you'll be given an opportunity
24	to speak as to why you want to serve and we'll
25	follow that up with questions by members of the

1 committee.

2 MS. ANDERSON: Good morning. I'm 3 going to first give a very brief summary about 4 Mr. Saleem -- Mr. Whitfield's -- his educational 5 background and experience.

6 Mr. Whitfield obtained a bachelor of 7 arts degree from the University of Georgia. He has 8 served on the Public Service Commission since 2008. 9 He currently serves on a number of NARUC committees. 10 He is the second vice president of SEARUC and serves 11 as vice president on the Gas Technology Institute 12 Advisory Board.

Prior to serving on the Commission he served as president of Whitfield Transportation, Inc., a company which he started in 1991. From 1990 to '91 he worked at Senn Trucking Company as director of brokerage operations. From 1987 to '90 he served as the operations manager at Youmans Transportation.

20 Mr. Whitfield has also served in other 21 public roles. He was a member of the John De La 22 Howe School Board of Trustees from 2006 to 2008, and 23 he served on the Winnsboro City Council from 1995 to 24 1999.

25

I would request that Mr. Whitfield's

1	79 Personal Data Questionnaire be entered into the
2	record with any confidential information such as
3	Social Security number and financial information
4	being redacted. In addition, I would request that
5	Mr. Whitfield's annual review by the Public
б	Utilities Review Committee since his last screening
7	be entered into the record.
8	(EXH. 8, Personal Data Questionnaire for
9	Swain Edgar Whitfield, was marked for
10	identification.)
11	(EXH. 9, Performance Evaluation for
12	Swain Edgar Whitfield, was marked for
13	identification.)
14	MS. ANDERSON: As part of our background
15	investigation of Mr. Whitfield, we have obtained a
16	credit check, driver's license and SLED check. For
17	the record, I would like to state that the credit
18	check, driver's license and SLED check revealed no
19	negative information for Mr. Whitfield that should
20	be brought to the attention of the subcommittee.
21	Before we begin questioning, do you have
22	a brief statement that you would like to make?
23	COMMISSIONER WHITFIELD: Yes,
24	Ms. Anderson, I would. I'd like to thank the Review
25	Committee for for another term. I'd like to

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1	80 thank you for the confidence you have shown in me
2	the past two terms. A lot has changed in my life
3	since I became a commissioner seven and a half
4	nearly eight years ago. I sold my business just as
5	I came on the Commission, to devote full-time
6	service to the Commission, and I've since moved
7	twice as many of you know and a lot has changed.
8	But it's wonderful job. I have to pinch myself
9	every day to still believe it's true. A lot of
10	people think we deal with a lot of a lot of data
11	that can get kind of heavy at times, but I find it
12	interesting and fascinating and every day brings a
13	new challenge and a new witness and new experts in
14	front of us each and every day. But it's a
15	fascinating job.
16	I would like to remind the Committee
17	that I'm the only commissioner that has been in

transportation for 22 years and held federal 18 authority and that last year 35 percent of our cases 19 20 were transportation cases which led the pack in all I think followed by electric with 27 21 cases. 2.2 So I'd like to point that out, I'd like to percent. 23 mention some of the training. I think you all have a lot of that in front of you and I will continue to 24 25 do that and just can't thank you enough.

	April 18, 2010
1	81 SWAIN E. WHITFIELD - EX. BY MS. ANDERSON It's been a wonderful experience and I'm
2	here to ask for another term because there's several
3	things in this next term that will be crucial during
4	these next four years, and I addressed some of those
5	last time I was before you and one of those is still
6	pending. So I'm eager to serve, I'm poised for
7	leadership in several NARUC and SEARUC roles and
8	hope that the Committee will find give me the
9	opportunity to do so again.
10	EXAMINATION
11	BY MS. ANDERSON:
12	Q. Please state your name and home address
13	for the record.
14	A. Swain E. Whitfield, 124 Palmetto Place
15	Lane, Winnsboro, South Carolina 29180.
16	Q. Are you registered voter?
17	A. I am.
18	Q. In which Congressional District are you
19	registered to vote?
20	A. Fifth.
21	Q. Please describe an ordinary workday for
22	you at the Commission.
23	A. Well, we come in and I guess like
24	everybody in this day and age, probably one of the
25	first things we do is go through e-mail and check

82 SWAIN E. WHITFIELD - EX. BY MS. ANDERSON 1 messages and then usually we -- the Commission, we -- I interact with staff, there our staff at the 2 Commission, and we kind of look at what's on the 3 agenda for this week or what brief -- what briefing 4 5 might be before us and I'll interact with them. And then usually once that's done I kind of check б 7 through either SEARUC or NARUC messages and some of the committees -- many of us are on multiple 8 9 committees so we're constantly being kept up --10 updated on current issues in the utility regulatory 11 industry, through both NARUC and SEARUC. 12 And you touched on this, but if you want Ο. 13 to elaborate what type of contact do you have with 14 the Commission staff? 15 I would say in my case it's a daily Α. 16 contact. It's -- we -- we have an excellent staff 17 as many of you know. We have six attorneys and we 18 have a couple of accounting advisers and engineers. 19 Dr. Spearman, our economist, and -- and we have a 20 water person and wastewater person as well. And we 21 have several other support people as well, and I --22 I interact with all of them.

Q. Your annual review reports by the Public
Utilities Review Committee have been entered into
the record. Would you like to provide any

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1	83 SWAIN E. WHITFIELD - EX. BY MS. ANDERSON additional description concerning your experience or
2	expertise that is not covered in your PDQ or your
3	annual annual reviews in energy issues,
4	telecommunications, consumer protection,
5	water/wastewater, finance, economic, statistics,
6	accounting, engineering or law?
7	A. I don't know of anything. There's a few
8	other NARUC-related things that are not in there
9	that are like that has come in in the last week
10	or so that I haven't mentioned, but I don't know of
11	anything to add to what you have there.
12	Q. Okay. If you are approached by someone
13	wanting to discuss a matter that is or may become an
14	issue in a proceeding, how would you handle the
15	situation?
16	A. Just simply tell them we we cannot
17	discuss it. It just really it really doesn't
18	happen I guess because of Act 175. I've not been
19	approached I think the last time before I was
20	before this committee I mentioned an inadvertent
21	communication that a constituent had sent me and
22	that occurred and that was prior to me even running
23	last time and that was placed in the record, but
24	completely inadvertent. And all parties were
25	notified and it was placed into the record and

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1	84 SWAIN E. WHITFIELD - EX. BY MS. ANDERSON nobody had any anything to contest about it. But
2	other than that, in my nearly eight years I've not
3	really been approached.
4	Q. Are you familiar with the two advisory
5	opinions issued by the Review Committee dealing with
6	attendance at legislative receptions as well as
7	legislative caucus meetings?
8	A. I am.
9	Q. Have you attended any legislative
10	receptions and/or legislative caucus meetings?
11	A. I have not.
12	Q. What is the appropriate demeanor for a
13	commissioner?
14	A. The appropriate demeanor for a
15	commissioner is to to be impartial and and
16	unbiased and sit and take each and every case on its
17	own merits and weigh the evidence, weigh the
18	pre-filed testimony, the rebuttal testimony all
19	the testimony. And once that's all been entered
20	into the record really just to sit and be a good
21	listener and to be a good referee, if you will, to
22	make sure everyone plays by the rules and that
23	everyone ever party, every intervenor, every
24	party of record anybody that appears before us
25	needs to be treated fairly and equally.

85 SWAIN E. WHITFIELD - EX. BY MS. ANDERSON 1 And would that be something that would Ο. 2 apply only while you were on the bench or at all 3 times? 4 Α. That applies at all times. Certainly what I just described was during a hearing, but --5 but, really, your out of the hearing room actions б 7 can have a huge impact as well. And we don't need to do anything that could give the appearance of any 8 9 impropriety or the appearance of any bias or 10 favoritism toward -- toward any side or the 11 appearance of any opposing view of any -- any party 12 that comes before us or any -- any group or party. 13 So we really just -- like I said, the

14 last eight years I totally -- just totally been in 15 my own little insulated world, so to speak. And it 16 doesn't mean we aren't to interact with the public, 17 we do, but certainly we are not to do anything to --18 to give any appearance of any bias or prejudice.

19 Q. Is there ever a role for sternness or20 anger with the commissioners?

A. I would say no, certainly not for anger. We have had a few night hearings where we've had to show some sternness sometimes with the gavel, but certainly not anger. And we expect everybody to be treated fairly, both in our merits hearings and in



86 SWAIN E. WHITFIELD - EX. BY MS. ANDERSON our night hearings, public night hearings as well.
If someone is getting unruly or out of hand, it's
it's the Chairman's job to to rule that person
out of order and ultimately control the meeting.
Q. Can you name three issues that are
either pending before the Commission or that may
come before you in your consideration as a
commissioner actually, I'm sorry, let me rephrase
that.
What would be the top three issues,
either pending or that may come before the
Commission in the near future, that you would
consider kind of big ticket items?
A. Could I ask, Ms. Anderson, are you
referring to federal the federal issues or other
issues that may impact South Carolina or are you
referring to specific cases that might come before
us?
Q. Well, I don't what are some
general three general topics?
A. Okay. Great. Okay. That helps me a
lot. Well, naturally the Clean Power Plan is
probably first and foremost in front of
everybody's on everybody's mind. And and you
may know in South Carolina our carbon emission limit

87 SWAIN E. WHITFIELD - EX. BY MS. ANDERSON has been reduced back to 32 percent from 51 percent 1 with the latest Clean Power Plan that has been 2 3 released. And, of course, it's been widely reported 4 in the media that has been issued a stay by the D.C. 5 Circuit and it's pending before the Supreme Court, so we'll see how that plays out and how that may б impact South Carolina. But it will still have huge 7 implications on our -- and it already has as we 8 9 started preparing for it, as many of our utilities 10 have retired coal-fired plants and switched to 11 natural gas. And we also -- we've also certificated a few brand new natural gas facility -- one big one 12 13 in the Upstate, 750 megawatt one that we have 14 approved. So that's one big issue.

15 Another big issue coming before the 16 Commission, at least here in South Carolina, is 17 the -- I'll call it the Renaissance in America 18 nuclear power that didn't happen nationally. It is 19 happening here in South Carolina and in Georgia with our two new units here, our two AP1000s here and the 20 21 two new AP1000s being built in Georgia. And there 22 is one other unit, but the 29 new unit -- units that 23 we thought were going to come on nationally have now turned into five. 24

25

So we are still in the -- in the thick

88 SWAIN E. WHITFIELD - EX. BY MS. ANDERSON of things, if you will, for lack of a better term 1 and fear, but I can't say much more than that about 2 that process because it is an ongoing matter before 3 the Commission and -- but also what I would point 4 5 out is that in some areas of the country, in some of the regulated markets you've had guite the opposite. б 7 You've had a few nuclear power plants that have actually shut down and -- because of being in those 8 markets there hasn't been the demand for -- for them 9 10 and for other reasons and there have been other 11 reasons that plants have been shut down. Everything from physical problems to -- to actually opposition 12 13 there from the local or regional area that the 14 plants are located in.

15 And probably another big thing that I 16 would say is facing the Commission is in the telecom 17 As our -- we -- we've moved from a area. 18 copper-based and public switch -- public switched 19 access network system to the IP transition, that's 20 going to bring huge changes in our telecom area. So 21 those are three of the big ones that I see. There 22 are many more as we continue our distributed 23 generation you're going to face cyber security 24 threats and all kind of things as we continue to 25 push out. You're going to face looking at the rate

	April 18, 2010
1	89 SWAIN E. WHITFIELD - EX. BY MS. ANDERSON making model and the cost shift paradigm as we
2	continue to deploy and distribute a generation,
3	which I think our legislature did a good thing in
4	enacting Act 236 and we're in the midst of carrying
5	that out now.
6	Q. What is the purpose of the Base Load
7	Review Act?
8	A. The purpose of the Base Load Review Act
9	is there's several reasons, but one of the
10	primary things was to encourage capital investment
11	and new nuclear generation and to encourage
12	utilities to build base load generation. Parts of
13	that act, of course, as you know, did not only apply
14	to nuclear but the main bulk of it was to encourage
15	new nuclear. And if you want to know the of
16	course, it applies to something larger than 350
17	megawatts or greater and must have 70 percent
18	capacity.
19	But the other the other biggest
20	reason I guess is for the rate payer, so that the
21	rate payer could enjoy that generation and have the
22	reliability and safe, affordable, reliable power for
23	generation for another generation or so to come
24	without being hit with massive rate shock, if you
25	will, in the end. Instead, by the Base Load Review

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	April 18, 2010
1	90 SWAIN E. WHITFIELD - EX. BY MS. ANDERSON Act what it's allowing, it's allowing the company to
2	recruit the AFDC (phonetic) or the interest, if you
3	will, in advance while the plant is under
4	construction so that a lot of these interest costs
5	has been estimated up to a billion will be saved in
6	our new projects.
7	Q. Is there a way that the public could
8	participate during the proceedings to make sure
9	that, in essence, their voice is heard in Base Load
10	Review Act proceedings?
11	A. Certainly part of the Base Load Review
12	Act allows for any party to challenge prudency in
13	in any revision that we have each year. And, of
14	course, that intervenor or that party does have to
15	challenge the prudency and show that there was
16	imprudence found, so each and every time there is an
17	opportunity for that. Every time we we have a
18	revised rate hearing in that matter there is an
19	opportunity for a party to do that.
20	Q. Have you sought or received a pledge of
21	any legislator prior to this date?
22	A. I have not.
23	Q. Have you sought or been offered a
24	conditional pledge of support by any legislator
25	pending the outcome of

1	91 SWAIN E. WHITFIELD - EX. BY SENATOR HUTTO A. I have not.
2	Q. Have you asked any third parties to
3	contact members of the General Assembly on your
4	behalf before the final and formal screening report
5	has been released?
6	A. I have not.
7	Q. Are you aware of any friends or
8	colleagues contacting members of the General
9	Assembly on your behalf?
10	A. I am not.
11	Q. Are you familiar with the 48-hour rule
12	which prohibits a candidate from seeking pledges
13	until 48 hours after the report has been submitted
14	to the General Assembly?
15	A. I am.
16	Q. And would you plan to serve a full term
17	if reelected?
18	A. I would.
19	MS. ANDERSON: Thank you. I have no
20	further questions.
21	EXAMINATION
22	BY SENATOR HUTTO:
23	Q. I see that one of the things that you
24	attended, maybe a couple of years ago, was an FBI
25	cyber security briefing. What role does the PSC

 $\mathbf{A} \| \mathbf{W} \| \mathbf{R} \| \quad \mathbf{A}.$ 

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92 SWAIN E. WHITFIELD - EX. BY SENATOR HUTTO 1 have in making sure that our power supply is -- is insulated as it can be from being hacked or misused? 2 That's a great question, Senator Hutto, 3 Α. 4 and also a delicate matter. That briefing I attended was a classified briefing and I signed my 5 life away that I could never disclose what I heard б 7 or saw or learned in that briefing so I can't get into that but it was fascinating, to say the least. 8 And I am vice chair of the Critical Infrastructure 9 10 Committee and one of the things that when I was --11 when Ms. Anderson was questioning me, I just 12 recently in the last week -- well, last couple of 13 days, even, got a call saying that I am being 14 considered for the chairmanship of that committee 15 and that committee does deal with cyber security and 16 we have cyber experts in a lot. 17 Typically we -- we've got some federal 18 agencies, first of all, that are on the forefront of 19 that. But it's our job to -- to, as we say, provide 20 safe, affordable and reliable power in South 21 Carolina. And that's where safety is being 22 broadened to mean a lot of other things because of

24 grid is modernized from a -- from a -- to a more 25 digital type system and as we start to get into

cyber security. And as you -- as we go -- as the

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1	93 SWAIN E. WHITFIELD - EX. BY SENATOR HUTTO distributive generation, there's more opportunities
2	for cyber issues to become a problem as you
3	you there are more more opportunities to our
4	scatus (phonetic) systems. There's more
5	opportunities for that to to happen.
6	And so we have taken a role at Critical
7	Infrastructure that it's kind of a need to know
8	basis and I think Representative Forrester was at
9	one of our NARUC meetings when we had a forum on
10	that. And it got to be quite a debate among
11	commissioners as to who should have top level
12	security and who should not. And and I kind of
13	take the stance of if you know it's kind of like
14	reading something that we have in a case before us
15	that's confidential and sealed and redacted. Once
16	you know those sorts of things, you're in danger of
17	somebody trying to get it out of you.
18	And so we kind of think the few I
19	take the notion the fewer the people that know
20	certain things that the better off you are. Pretty
21	soon if everybody has top level clearance, then
~ ~	

20 certain things that the better off you are. Pretty
21 soon if everybody has top level clearance, then
22 what's the purpose of having top -- top security
23 clearance? And we did have to get clearance to go
24 to that briefing you referred to with PURC and FBI.
25 But we have had to really dive deep into



1	94 SWAIN E. WHITFIELD - EX. BY REPRESENTATIVE FORRESTER some of these things and we've had one hearing where
2	some cyber security costs were placed into the
3	record and then later removed, and I think they're
4	going to come back later once we know a little bit
5	more in depth as things move forward. So it's one
6	of those things that's really hard to to deal
7	with in a public manner, like an open manner,
8	because certain things if you get into you're going
9	to have to clear the room. So it's a delicate
10	situation, Senator, is what I would say and it's
11	going to be moving forward.
12	SENATOR HUTTO: Okay. Mr. Forrester?
13	REPRESENTATIVE FORRESTER: Thank you.
14	EXAMINATION
15	BY REPRESENTATIVE FORRESTER:
16	Q. Mr. Whitfield, you spent eight years on
17	the Public Service Commission. What would you say
18	are your greatest accomplishments during that
19	period?
20	A. I would say our well, the greatest
21	accomplishments here are holding two major what I
22	would call cases of a lifetime here in South
23	Carolina, the two Base Load Review units, the two
24	units Units 2 and 3 at V.C. Summer which are
25	still ongoing. I got on the Commission about six

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1	95 SWAIN E. WHITFIELD - EX. BY REPRESENTATIVE FORRESTER months before those cases started and they were
2	they lasted about a month, or close to it, and we
3	went late into the night many nights, and they
4	were it was certainly a landmark case that is
5	still ongoing as you well know.
6	And the other one I would say I guess
7	I'm talking in terms of largest was the Duke
8	Progress merger which has now fully taken effect.
9	We do have some other mergers upcoming, but they
10	are the Commission will be a little bit limited
11	in its involvement with those mergers.
12	Q. Okay. Last question. Tell me why
13	you're the most qualified person for this position.
14	A. Well, I would say that I've some of
15	the training I've been through I would say when I
16	hear a case I rely on all of my life experience.
17	That includes 22 years in in transportation as a
18	business owner, my experience in local government
19	and with municipality municipal-owned utilities.
20	I rely on everything in in my 52 years when I
21	hear a case.
22	However, the thing that's the most
23	crucial is the training that you have afforded me to
24	have, primarily through NARUC, over the last eight
25	years. I can certainly say the first two years as a



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1	SWAIN E. WHITFIELD - EX. BY REPRESENTATIVE FORRESTER commissioner every I think every commissioner
2	will tell you nationally, and here in South
3	Carolina, that the first two years is a long
4	learning curve and you continue to learn each and
5	every day. So I would say my my training that
6	I've experienced over the last eight years and
7	continued experience.
8	T might point out that Tier 1 training

I might point out that Tier 1 training 8 9 you see on there, that's just not something I went 10 and got in the last year. That's the cumulative 11 total of 240 hours of training, and very few 12 commissioners in the nation have that. I just 13 received that distinction last year, and that's something that I've done as a combined level of all 14 15 my training.

16 And I also would point out that I'm 17 familiar with these issues that we're going to be facing in the next four years, particularly the 18 19 Clean Power Plan, the IP transition that's coming forward in telecom, the coal ash issue -- there's 20 21 just so many issues that are coming before us over 22 the next -- that are right on the forefront that I 23 think I'm in position to deal with. And I also am 24 vice chairman of several groups, as you can see, and 25 hope to move into the chairman role of several of



	Screening Subcommutee April 16, 2016
1	97 SWAIN E. WHITFIELD - EX. BY REPRESENTATIVE FORRESTER these organizations that's going to benefit South
2	Carolina if I do become chairman of those groups.
3	One of them is SEARUC, and I'll just flat tell you
4	if I'm chairman of SEARUC I plan to have SEARUC here
5	in South Carolina.
6	So there's just several things that
7	I'm I am vice chair in waiting, if you will, and
8	I hope to use a lot of the training and leadership
9	that you all have afforded me as a commissioner to
10	participate in. And I hope to be able to use those
11	skills and training and education that you have
12	afforded me to give back to my state. And I think
13	this would be, you know, perhaps my most beneficial
14	back to the people of South Carolina in this term as
15	a commissioner yet. So I'm eager to give that back.
16	REPRESENTATIVE FORRESTER: Thank you.
17	SENATOR HUTTO: All right. We're going
18	to ask you to stay for a minute and ask everybody
19	else to leave, and following that we'll come back in
20	open session and break for lunch.
21	(Executive session.)
22	SENATOR HUTTO: We will lift the veil
23	now and we will break for lunch. We're scheduled to
24	come back at 1:30. I guess we probably could start
25	at 1:15 if we want to. I'll leave it up to



1	98 SWAIN E. WHITFIELD - EX. BY REPRESENTATIVE FORRESTER MR. SIMMONS: I won't be here, if you
2	want to.
3	SENATOR HUTTO: All right. It will be
4	the three of us. Let's we're going to come back
5	into public session 15 minutes, because we're ahead
6	right now, we're going to move lunch up and start
7	back at 1:15.
8	(A luncheon recess transpired.)
9	SENATOR HUTTO: All right. We're
10	continuing on with our screening of Public Service
11	Commission candidates for the District 7 and our
12	next candidate is Clara L. Brockington.
13	Good afternoon.
14	MS. BROCKINGTON: Good afternoon.
15	SENATOR HUTTO: First we ask you to be
16	sworn in.
17	CLARA LEWIS BROCKINGTON
18	being first duly sworn, testified as follows:
19	SENATOR HUTTO: Ms. Brockington, we will
20	first proceed with some questions by the staff and
21	during that opportunity you'll be given an
22	opportunity to tell us why you would like to serve
23	on the PSC and following that we'll have questions
24	by the Committee.
25	MS. ANDERSON: First I'm going to give a

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99 brief summarization of Ms. Brockington's educational 1 2 and experience background. Ms. Brockington received an associate's 3 degree as a paralegal from Florence-Darlington 4 technical college. She then obtained a bachelor's 5 degree in social work from Limestone College and a 6 7 master's degree in social work from the University of South Carolina. Ms. Brockington has worked with 8 9 Domestic Violence Court since February 2003. From 1996 to 1999 she was a supervisor at Lee 10 11 Correctional Facility. From 1995 to '96 she worked 12 as a coordinator with Darlington County Disabilities 13 and Special Needs. From 1990 to '95 she was 14 employed as a social worker at McLeod Regional 15 Medical Center, and prior to 1990 she was employed as a counselor at South Carolina Vocational 16 17 Rehabilitation Department. 18 Ms. Brockington, do you have any changes that you would to make to the Personal Data 19

Questionnaire you submitted with your application? MS. BROCKINGTON: No, I do not. MS. ANDERSON: I would request that Ms. Brockington's Personal Data Questionnaire be entered into the record with any confidential information being redacted.



1	100 (EXH. 11, Personal Data Questionnaire
2	for Clara Lewis Brockington, was marked
3	for identification.)
4	(EXH. 12, Clara Brockington SLED Report,
5	was marked for identification.)
6	MS. ANDERSON: And, Ms. Brockington, as
7	clarification for the record your PDQ, your Personal
8	Data Questionnaire, states that you currently work
9	for domestic violence courts. Can you describe what
10	kind of entity this is?
11	MS. BROCKINGTON: It is an entity that
12	deals with perpetrators that have committed some
13	type of domestic crime, assault, attempted and they
14	are given court sentences. And normally instead of
15	court instead of the fines doing the court
16	sentence, then they can can choose to attend a
17	domestic class. And then once they attend the
18	domestic class, then they will be considered have
19	completed the sentence that was given and that can
20	come off of their record, can be erased from their
21	record.
22	MS. ANDERSON: Okay. So the entity that
23	you work for isn't the actual court system, it's
24	either is it a business or a nonprofit?
25	MS. BROCKINGTON: It's a business that

101 works jointly with the court system. 1 2 MS. ANDERSON: Okay. And the title of the business entity, the name of it, is Domestic 3 Violence Court? 4 5 MS. BROCKINGTON: Yes. MS. ANDERSON: Okay. And as part of our 6 7 background investigation of Ms. Brockington we obtained a credit check, a driver's license and a 8 9 SLED check and the staff has questions of a 10 confidential or personal nature and will request 11 that they be taken up in executive session at the 12 appropriate time. 13 Before we begin questioning, do you have 14 a brief statement that you would like to make? 15 MS. BROCKINGTON: My brief statement 16 would be that I have applied today for this 17 Commissioner District 7 position because of numerous 18 reasons. One will be because I feel that I am 19 qualified for that position with my background, my 20 education, and with my being able to connect with 21 resources, knowing the contact of the different 2.2 communities that I have traveled and will continue 23 traveling, and the different court laws that I've 24 heard, dealing with the different attorneys, judges 25 and all of that. I feel like that qualifies me for

	102 CLARA LEWIS BROCKINGTON - EX. BY MS. ANDERSON
1	that position.
2	EXAMINATION
3	BY MS. ANDERSON:
4	Q. Please state your name and home address
5	for the record.
6	A. My name is Clara Lewis Brockington and
7	my home address is 3301 East Bennett Drive,
8	Florence, South Carolina 29506. But I get my mail
9	at Post Office Box 3232, Florence, South Carolina
10	29502.
11	Q. Are you a registered voter?
12	A. Yes, I am.
13	Q. In which Congressional District are you
14	registered to vote?
15	A. I am registered in the it's on my
16	voter's registration card, I went blank. (Reading.)
17	It has 21 on here, I don't know what that means.
18	Q. Okay. You touched on this earlier in
19	your brief statement but in case there's anything
20	you want to elaborate on, why are you interested in
21	serving on the Public Service Commission?
22	A. Well, as a social worker, Number 1,
23	social workers like challenges and this would be a
24	challenge for me.
25	Number 2, I believe in being a good

103 CLARA LEWIS BROCKINGTON - EX. BY MS. ANDERSON 1 listener and having the understanding of the Public Service Commission concerning the utilities. 2 And I'm an advocate, I have that experience with being 3 an advocate. I also do a lot of my own finances 4 5 concerning my budget. And so numerous qualifications that are б 7 required, I do that on a daily basis and I feel like those qualifications and the experience that I have 8 it would definitely qualify me for a person to 9 10 travel around being in meetings. I am a good team 11 player, understand different parts of the laws and all that and it will, I think, tie me in to be a 12 13 good person for this position. 14 Okay. And while we're on the topic of Ο. 15 qualifications, as you know there were a number of 16 items in the statute listed for areas of expertise

18 you have anything else you want to add to it.

19 If you would describe your experience or 20 expertise that you have not already covered in the 21 following areas, including the time that you've been 22 engaged in the issues -- energy, telecommunications, 23 consumer protection and advocacy, water and 24 wastewater, finance, economics and statistics, 25 accounting, engineering or law.

and so I'm going to name each one of those in case

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1	CLARA LEWIS BROCKINGTON - EX. BY MS. ANDERSON A. Telecommunication, I am the
2	telecommunication person because I go and do all the
3	public speaking, I do all of the training, I do all
4	of the communicating where there is a problem going
5	on with anyone in the agency or with agency laws or
6	whatever our standards are. As far as domestic
7	violence, I am that person. Whenever the judges
8	have a problem, they contact me and we meet. We
9	revise if we need to to satisfy the judges because
10	that's one of the persons I definitely want to
11	satisfy is the judge. So I've done many revisions
12	that he has that they have requested in our
13	closed door meetings to make the program more
14	accessible for the offenders.
15	As far as the accounting, I am

16 responsible for accounting as far as doing my 17 budgets, I do my budgets every year, submit my 18 budgets to get them approved. So -- and I do 19 payroll, all of that, I do my own accounting.

The advocacy. Advocacy, I've been doing that ever since I've been a social worker -- from a social worker to working at the hospital, working with disabilities and special needs. All of my employment involved advocacy. What else...

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Q. Energy, telecommunications, consumer

105 CLARA LEWIS BROCKINGTON - EX. BY MS. ANDERSON 1 protection and advocacy, water and wastewater, finance, economics, statistics, accounting, 2 3 engineering or law. 4 Α. Okay. And as far as the law, I'm in the law -- in the courtroom every day and so I'm hearing 5 the law, I'm seeing the lawmakers. We constantly б 7 are viewing laws of the State concerning our domestic policy and any other laws that we have to 8 9 help us encompass what we are trying to do. And we 10 also speak occasionally with, you know, several of 11 our lawyers here at the State House and State senators because several of those lawmakers are 12 13 attorneys. So I am in touch with those lawmakers a 14 lot when they're in the courtroom. 15 Have you attended any hearings at the Ο. 16 Commission? 17 Α. Pardon me? Have you -- have you attended -- have 18 Ο. 19 you gone to any hearings at the Public Service 20 Commission? 21 No, I have not. Α. 22 Have you read any of the Commission's Ο. 23 decisions? Not quite sure if I did. I've read a 24 Α. 25 lot of material and I don't know if there were

106 CLARA LEWIS BROCKINGTON - EX. BY MS. ANDERSON 1 decisions, but I read a lot of material. How would you expect to get up to speed 2 Ο. 3 on issues confronting the Commission? 4 Α. In any job that you obtain, any job that I hire individuals, because all of the individuals 5 who work for me they have to have master's degrees, б 7 but master's degrees don't really tell anything. It's just a piece of paper. And I tell all my staff 8 in my education at University of South Carolina one 9 10 thing that I was taught by numerous of my 11 professors, they said lots of people are not test takers, that they will do terrible on a test, but 12 13 those are your front line people that you would like 14 to put out there and do the job. And I've learned 15 that because I do give a small test for my 16 employer -- employees, and lots of them do score a 17 little bit lower. So I've learned I don't cast 18 those people out, I give them opportunities and that 19 does prove correct what -- what I've learned from 20 the University of South Carolina.

So I feel like hands-on, being a doer, continue reading information, continue receiving training, because training -- continued education is the key to every -- every job. So I feel like with the portion of all of that, you will stay abreast of

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CLARA LEWIS BROCKINGTON - EX. BY MS. ANDERSON what's going on with the Commissioner and do one of
the best jobs.
Q. Are you familiar with the two advisory
opinions issued by the Review Committee dealing with
attendance at legislative receptions and legislative
caucus meetings?
A. I am familiar that you are not to attend
any of those meetings until an election has been
held.
Q. Have you attended any legislative
receptions or caucus meetings since receiving the
advisory opinion?
A. I have not.
Q. Assume that you were serving on the
Commission and you were approached by someone
wanting to discuss a matter that is or may become an
issue in the proceeding. How would you handle the
situation?
A. I would let them know that I am a
candidate for the Public Service Commission and I
have been informed through all of my reading
material that I do not discuss any information
concerning anything they're asking me about that. I
have there is an appropriate time for that and
this time is not the correct time.



108 CLARA LEWIS BROCKINGTON - EX. BY MS. ANDERSON 1 What is the general rule regarding ex Ο. 2 parte communication? The general rule is you cannot -- you 3 Α. 4 cannot be a part of any ex parte because that is 5 leading to impartiality and you don't want to be -that's leading to partiality and you don't want to б 7 show any partiality. You want to be impartial on any rules or laws or all that, so you would not take 8 9 part in anything that is partiality. You want to 10 remain impartial. And how would you handle a situation if 11 Ο. 12 someone approached you and there was a discussion? 13 What -- what would be the remedy to that? 14 I would inform them that this is one of Α. 15 the laws under the Public Service Commission and 16 that I will not be able to take part in any ex parte 17 because I am not being -- I'm not being partial to 18 anything and I am impartial at this time. And 19 after -- after an appropriate time, then I would 20 inquire and see when I can and if I could take part 21 in what I'm being asked. 22 Ο. Are you aware that commissioners are 23 subject to the Judicial Code of Conduct as well as

24 | State ethics laws?

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A. Yes.

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	April 18, 2016
1	109 CLARA LEWIS BROCKINGTON - EX. BY MS. ANDERSON Q. Are you affiliated with any political
2	parties, boards or commissions that would need to be
3	reevaluated if you were nominated and elected?
4	A. No, I'm not.
5	Q. Do you belong to any organizations that
6	discriminate based on race, religion or gender?
7	A. No, I'm not.
8	Q. What is the appropriate demeanor for a
9	commissioner?
10	A. Well, a commissioner should always have
11	great conduct. A commissioner should always they
12	should never be a part of anything that involved
13	third parties which is family families, aunts,
14	uncles, brothers, sisters anything that they
15	should not go and speak with anyone else to ask
16	about voting, they should not be a part of trading
17	anything as far as trading a vote for something
18	else, to satisfy something else. Anything that's
19	illegal of that nature they should not be a part of
20	anything. They should always hold up against the
21	conduct as
22	Q. And would that conduct apply only while
23	on the bench or would that apply all the time?
24	A. That conduct is 24/7.
25	Q. Is there ever a role for sternness or
	A WILLIAM ROBERTS, JR. & ASSOCIATES (800) 743-DEPO Page 109

110 CLARA LEWIS BROCKINGTON - EX. BY MS. ANDERSON 1 anger as a commissioner? 2 No, there's not. Α. What are the businesses and industries 3 Q. 4 regulated by the Public Service Commission? It's your waste business, it's your 5 Α. energy businesses. It's your taxi services, it is б 7 business that transfer your household goods -energy, water, waste, taxi. I think I'm missing 8 9 one. 10 What is the PSC's role in regulating Q. telecommunications? 11 12 Their role is to make sure that all of Α. 13 the services are being processed appropriately and 14 that the services that the customers receive from 15 the telecommunication that it is accurate services. 16 And if they are not, they have an opportunity to 17 complain to the Public Service Commission 18 concerning... 19 What is South Carolina's current Ο. 20 generation mix as it relates to electricity? 21 Ask that question again. Α. 22 Ο. What is South Carolina's current 23 generation mix as it relates to electricity? What 24 are the sources of energy that the electric 25 companies use to provide electricity?



	April 16, 2010
1	111 CLARA LEWIS BROCKINGTON - EX. BY MS. ANDERSON A. I'm not sure about that.
2	Q. Okay. Did you make any contributions to
3	members of the General Assembly within six months of
4	filing your application?
5	A. No, I did not.
6	Q. Have you made any contributions to the
7	members of the General Assembly since filing your
8	application?
9	A. No, I have not.
10	Q. Have you sought or received a pledge of
11	any legislator prior to this date?
12	A. No, I have not.
13	Q. Have you sought or been offered an
14	additional pledge of support by any legislator
15	pending the outcome of this screening?
16	A. No, I have not.
17	Q. Have you asked any third parties to
18	contact members of the General Assembly on your
19	behalf before the final and formal screening report
20	has been released?
21	A. No, I have not.
22	Q. Are you aware of any friends or
23	colleagues contacting members of the General
24	Assembly on your behalf?
25	A. They have not.

	April 18, 2016
1	112 CLARA LEWIS BROCKINGTON - EX. BY SENATOR HUTTO Q. Are you familiar with the 48-hour rule
2	which prohibits a candidate from seeking pledges for
3	48 hours after the report has been submitted to the
4	General Assembly?
5	A. Yes.
6	Q. Would you serve would you plan to
7	serve a full term if elected?
8	A. Yes.
9	MS. ANDERSON: Mr. Chair, I have no
10	further questions.
11	SENATOR HUTTO: Let's ask a couple of
12	questions.
13	EXAMINATION
14	BY SENATOR HUTTO:
15	Q. In the domestic violence courts, who
16	funds that?
17	A. It is funded by nonprofit agencies and
18	donors and all of that.
19	Q. But you mentioned that you submitted a
20	budget. Is that, like, to a County Council or who
21	does the budget go to?
22	A. Yes.
23	Q. To?
24	A. I submit it to County Council, United
25	Way different agencies to submit a request.

113 CLARA LEWIS BROCKINGTON - EX. BY REPRESENTATIVE FORRESTER So do you receive funds from -- is it in 1 Ο. 2 Florence? 3 Α. Yes. 4 Ο. Is it a -- is it an agency of the 5 Florence court system? б Α. Yes. 7 Ο. Okay. We contract with them. 8 Α. 9 Okay. So you contract with the Court Q. system, so there is public money and private money? 10 Right. 11 Α. 12 SENATOR HUTTO: Okay. Mr. Forrester? 13 REPRESENTATIVE FORRESTER: Thank you. 14 EXAMINATION 15 BY REPRESENTATIVE FORRESTER: 16 Ms. Brockington, can you explain the Ο. 17 Base Load Review Act? 18 If I stand correctly, because I read a Α. 19 lot of knowledge, I think that is when the -- I'll 20 pass. I'm -- I'm getting that mixed up with 21 something else. 22 Ο. Well, let's go back to your career. 23 What would you say has been your greatest 24 accomplishments in your career? 25 My greatest accomplishments are I'm a Α.

114 CLARA LEWIS BROCKINGTON - EX. BY REPRESENTATIVE FORRESTER developer. I have developed numerous entities under 1 2 my program that would allow judges -- because when I 3 first started this some years ago none of the judges 4 were cooperating with me. They all wanted to 5 continue to go by the law and the law was you do the crime and they assign the time. And I was steady б 7 meeting with them, trying to show them where this is not working because people are re-offending, 8 re-offending and re-offending and getting out of 9 jail, going home, retaliating, doing the same thing 10 11 over and over again. So I had to kind of come up and develop 12 something and have it on paper and meet with each 13 judge and kind of have meetings with them regularly 14 15 and -- until I could show that and have the stats 16 and all that to show that this was not a good 17 statute that they had, that if they would just 18 listen to me and give me the opportunity and I would 19 be willing to revise some of the things that I had 20 developed to make sure that everybody was getting a 21 fair share -- the Court was getting their share and 22 that the program of mine was getting my share and 23 the offender also was getting treated -- was getting 24 treated.

25

Q. Okay. You might expect this position



115 CLARA LEWIS BROCKINGTON - EX. BY REPRESENTATIVE FORRESTER requires a wide range of knowledge over multiple 1 2 disciplines. So how would your qualifications make you the best candidate for this job? 3 4 Α. Well, when I came to this position I was 5 coming from a whole different arena. I was leaving working for Lee Correctional Institution and was not б 7 doing anything that I'm doing now. And, as I said earlier, anyone who receives a job you've got to 8 receive training, you've got to receive hands-on, 9 you've got to have other persons there with the 10 11 knowledge that were there before you. And if we all 12 work as a team -- and I am a hands-on person, I'm not the person -- and I knew this but I had to be 13 told this by some of my professors that I'm not the 14 15 paper person -- paper and pencil person that you 16 just sit down and take a test because a lot of times tests don't tell everything, it doesn't and I've 17 proven that, tests don't tell everything of the 20 18 19 people that I hired. 20 So gaining knowledge every day when

21 you're hands-on, when you're doing this every day 22 and you're gaining knowledge. I mean, I've done 23 what I've been doing for numerous years and every 24 day I'm learning something. Every day is a new day. 25 REPRESENTATIVE FORRESTER: Thank you.

116 CLARA LEWIS BROCKINGTON - EX. BY SENATOR HUTTO 1 EXAMINATION 2 BY SENATOR HUTTO: Ms. Brockington, how did you find out 3 Q. 4 about this position? Did you read about it or 5 somebody recruited you to apply or how did that come about? б 7 Α. I read about it. SENATOR HUTTO: All right. Any other 8 9 questions? 10 (No response.) 11 SENATOR HUTTO: All right. We're going 12 to ask you to stay and we're going to ask everybody else to step out for a minute. We'll go into 13 executive session and we'll be back in public 14 15 session in a few minutes. 16 (Executive session.) 17 SENATOR HUTTO: Okay. We're back out of 18 executive session and on the record with Clara 19 Brockington. 20 Ms. Brockington, we've reviewed a few Ο. 21 things in the -- in the executive session but now we 22 need to go back on the record and review those things. For each candidate we have reviewed their 23 driving record, their SLED record and their credit 24 25 record and we need to now on the record, under oath,

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117 CLARA LEWIS BROCKINGTON - EX. BY SENATOR HUTTO 1 ask you some questions. Your driving record shows a total of 2 five accidents and about six violations which 3 4 suggest that you were not obeying the law on numerous occasions. Do you have any comment about 5 that? б 7 Α. Were they specifically saying me or it could be me and my daughters that I have on my --8 It's talking about you with Driver's 9 Q. 10 License Number 4752964 and there was a speeding in 2015, two accidents in 2013, more speeding in 2011, 11 two more accidents in 2010 and '8. We're using a 12 13 ten-year driver's record and then three speeding 14 violations in 2007 and one in 2006. And generally 15 we don't get into that when a person has a speeding 16 violation or two, but when somebody shows a pattern 17 of being stopped by law enforcement on numerous 18 occasions over a decade we do ask you to comment 19 about that. Well, I can't recall all of those that 20 Α. 21 you've gone so far back on unless I had them in 22 front of me to determine that, because I have 23 children that are listed on my driver's -- on my

24 insurance. And normally whenever I get my insurance 25 information it is not list -- it just lists the

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118 CLARA LEWIS BROCKINGTON - EX. BY SENATOR HUTTO violations and what happened. It does not say whose 1 name beside each one, so I don't have -- I don't get 2 3 what you have there. 4 Ο. Well, what I'm -- what this is is a record of times in which you were either issued a 5 blue ticket, summons for a violation of the law, or б 7 a report of FR-10 accident form showing that an accident you were involved in was reportable. 8 9 Α. Was it -- do your reports show 10 non-fault? 11 Ο. It doesn't say --12 Because I haven't had any accidents in Α. 13 the last ten years that was my fault. So if it 14 shows accidents --15 You are correct about the four accidents Ο. 16 show that you were not at fault. 17 Α. Okav. 18 All right. But the one, two, three, Ο. 19 four, five -- six speeding violations, did you just 20 pay the fines on those or did you go to court? 21 I'm not sure because you were going back Α. 22 so far -- so many years. I know the last one, one 23 of my judges that I am affiliated with in the Lake 24 City magistrate's court, I do remember going before 25 her. But as far as any one further than that,

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119 CLARA LEWIS BROCKINGTON - EX. BY SENATOR HUTTO 1 that's the last one I remember is the Lake City. I'm going to move on to 2 All right. Ο. 3 another question. The question to you was have you, 4 to your knowledge, ever been under investigation for 5 possible violation of the criminal statute, you answered no. Your SLED report shows that you have a б 7 charge against you for a fraudulent check. For the record, as we talked Α. Yes. 8 9 earlier, I discussed that with Judge White, which is 10 one of the judges I work with in the courtroom. The 11 monies were paid to Piggly Wiggly grocery store and 12 apparently they did not do their paperwork 13 correctly. Whomever in customer service did not do 14 their paperwork correctly and it was shown as a 15 fraudulent check and it was not. And I had Judge 16 White, whenever I received that, to contact Piggly 17 Wiggly and Piggly Wiggly apologized to me for 18 sending that in and processing it as a fraudulent 19 check when the monies were paid to Piggly Wiggly 20 instead of whatever agency that sent the letter to 21 me.

Q. Are you aware that the public records of
Florence County show that the charge against you
resulted in a trial in which you were found guilty?
A. I never went to trial on anything like

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120 CLARA LEWIS BROCKINGTON - EX. BY SENATOR HUTTO 1 that. Okay. Let me move on to another 2 Ο. 3 question in which you were asked. You were asked if there had been -- ever been a tax lien or other 4 5 collection proceeding against you and our records show that, in fact, you have been subject to a tax б 7 lien, you did not report that. What is your explanation? 8 9 Α. Is that the tax lien on my father's 10 property that you're talking about? 11 MS. ANDERSON: No, ma'am, it's not. That's not what the records show for the current 12 13 balance. This is the 2014. 14 MS. BROCKINGTON: Is that the one where 15 you showed me the name is Carlar -- Carlar Brockton 16 (phonetic)? Is that the one that we're talking 17 about? 18 No, ma'am. MS. ANDERSON: 19 BY SENATOR HUTTO: 20 We're talking about -- what we're trying Ο. 21 to clarify is we asked you specific questions and 22 you signed as to those questions and you certified 23 that the answers to the questions were true and 24 correct. And there is apparently a tax lien that 25 was out there and you did not report it, and the

121 CLARA LEWIS BROCKINGTON - EX. BY SENATOR HUTTO 1 question is why? And I'm trying to clarify now. Is that 2 Α. the one where I stated that my brothers paid the tax 3 4 lien, the taxes on the homestead property? Because 5 I have nothing to do with that, I turned all of that over to them, and I'm not aware of when they pay and б 7 when they do not pay. But I will follow up with them and -- I haven't paid property taxes on that 8 property since the death of my father. 9 10 All right. Finally the question was Q. have you ever been sued, and the SLED report comes 11 12 back -- the SLED report came back with the 13 following: Chase Manhattan Bank versus Clara 14 Brockington, First Federal Bank versus Clara 15 Brockington, First Federal Bank versus Clara 16 Brockington, South Carolina Housing Authority versus 17 Clara Brockington, Girl Scouts Council of the Pee 18 Dee versus Clara Brockington, State of South 19 Carolina versus C.L. Brockington, Betty Davis versus 20 Clara Brockington. There's a judgment from Pages 21 Auto Repair, Jacobs Plumbing versus Clara 22 Brockington -- two different suits, one in 23 Magistrate's Court and one in Common Pleas, and then 24 a restraining order Anita Harrison versus Clara 25 Brockington.

CLARA LEWIS BROCKINGTON - EX. BY SENATOR HUTTO 1 And the question is, Ms. Brockington, was have you ever been sued and you answered no. 2 And we have no fewer than one, two, three, four, 3 4 five, six, seven, eight, nine, ten -- 11 instances 5 in which you were named a defendant in a legal action and, yet, your answer was that you have not б 7 been sued. Why did you answer that question no? And my answer still remains no. As we 8 Α. 9 spoke and talked extensively in session, in closed 10 session, my answers to you remains no. All of those 11 names that you named, I informed you earlier that 12 all of the Chase Bank, this, that, the other and all 13 those names, those are housing people most of them. 14 My husband takes care of all the housing. I have 15 never been sued. If you pull up his record I'm 16 quite sure all of that information would be on his 17 record also. But I don't pay the bills at my house, 18 he pays the bills. And whatever is going on with 19 housing and all that, then he is responsible for all 20 of the housing information because I don't pay -- no 21 bills at home. He does that.

Q. All right. So in short, then, and to
wrap this up, there were three questions asked you.
One about whether you've been sued, one about
whether you had a tax lien, one about you never had

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1	a criminal violation. You answered no to all of
2	those and the SLED report shows different
3	information, and we'll enter the SLED report into
4	the record and let it speak for itself. Is that
5	fair?
6	A. That's fair enough because I I am
7	aware and I know none of that is correct.
8	Q. Do you know whether the tax lien is
9	still outstanding or whether that's been paid?
10	A. I do not know. I have not spoken with
11	my brothers since I've been in Columbia. I have a
12	daughter going in the hospital tomorrow and my mind
13	has been on praying for her that she has a good
14	speedy recovery, because it is a major surgery.
15	SENATOR HUTTO: Okay. Thank you for
16	offering to serve in this capacity and we will
17	report these findings out to the full committee and
18	they will take the appropriate action.
19	MS. BROCKINGTON: Thank you so much.
20	SENATOR HUTTO: Okay. And we've got our
21	final person coming up, G. O'Neal Hamilton, if we
22	can get Mr. Hamilton here.
23	(Off the record.)
24	SENATOR HUTTO: All right. We're moving
25	back on the record. On the record for screening the
	A       W       R       A. WILLIAM ROBERTS, JR., & ASSOCIATES (800) 743-DEPO       Page 123         scheduledepo.com       Page 123

	Screening Subcommittee April 18, 2016
1	124 Public Service Commission District 7, our final
2	candidate is Commissioner G. O'Neal Hamilton.
3	Commissioner Hamilton, good afternoon.
4	COMMISSIONER HAMILTON: Good afternoon,
5	sir, Mr. Chairman, and Ms. Anderson and gentlemen.
б	Happy to be here.
7	SENATOR HUTTO: Glad to have you and
8	we'll ask that the reporter swear you in.
9	THE COURT REPORTER: Commissioner,
10	please raise your right hand.
11	G. O'NEAL HAMILTON
12	being first duly sworn, testified as follows:
13	MS. ANDERSON: Good afternoon. I'm
14	going to provide a very brief summary of
15	Mr. Hamilton's educational and experience
16	background.
17	Mr. Hamilton graduated from North
18	Greenville Junior College with an associate's degree
19	in business administration. Upon graduation he
20	became an agency manager for the life insurance
21	company where he worked till 1957, and then he
22	worked as an agency manager for Farm Bureau where he
23	worked until his retirement in 2001. He has served
24	on the Public Service Commission since 2004.
25	Before serving on the Commission he had

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served on the Bennettsville City Council from 1978
to 1990. He also previously served on the South
Carolina Highway Commission and the Marlboro County
Council. He also served with various community
organizations and is also serving on a number of
neighboring committees as well.
I will request that Mr. Hamilton's
Personal Data Questionnaire be entered into the
record with any confidential information such as
Social Security number and financial information
being redacted. In addition, I would ask that his
annual review by the Public Utilities Review
Committee since his last screening be entered into
the record.
(EXH. 13, Personal Data Questionnaire
for G. O'Neal Hamilton, was marked for
identification.)
(EXH. 14, Performance Evaluation for G.
O'Neal Hamilton, was marked for
identification.)
MS. ANDERSON: As part of our background
investigation of Mr. Hamilton, we obtained a credit
check, driver's license and SLED check. For the
record, I would like to state that the credit check,
driver's license and SLED check revealed no negative

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1	126 G. O'NEAL HAMILTON - EX. BY MS. ANDERSON information for Mr. Hamilton that needs to be
2	brought to the attention of the Subcommittee.
3	Before we begin questioning, do you have
4	a brief statement that you would like to make?
5	COMMISSIONER HAMILTON: I would just
6	like to thank you for having me here today and I
7	would like very much to before I leave here to
8	convince you that I need to stay for four more years
9	to finish the work that I've started.
10	EXAMINATION
11	BY MS. ANDERSON:
12	Q. Please state your name and home address
13	for the record.
14	A. G. O'Neal Hamilton, 706 Lakeshore Drive,
15	Bennettsville, South Carolina 29512.
16	Q. Are you a registered voter?
17	A. Yes, ma'am.
18	Q. In which Congressional District are you
19	registered?
20	A. 7th.
21	Q. Please describe an ordinary workday for
22	you.
23	A. Well, we usually start on Monday. I
24	come from Bennettsville to Columbia normally on
25	Monday morning. And on Monday we kind of get

	April 16, 2016
1	127 G. O'NEAL HAMILTON - EX. BY MS. ANDERSON organized for what we've got in front of us for the
2	week. Usually on Tuesday we have have hearings,
3	normally it's transportation hearings, ex parte
4	briefings, et cetera. We always have the business
5	meeting on Wednesday and on Tuesday we have hearings
6	also. Usually I go home either Thursday night or
7	Friday.
8	Q. What type of contact do you have with
9	the Commission staff?
10	A. Well, it's very open and daily kind of
11	tact contact with the staff. We've got an
12	excellent staff and we work well together. The
13	communication is ongoing. One thing that we've
14	that I've always said is that once you get with the
15	State I didn't realize it but when they hand you
16	that cell phone and iPad you don't ever get away
17	from the staff. It's constant.
18	Q. How do you prepare for a hearing?
19	A. Well, we have a good bit of testimony
20	that we have to study and read. We have to do
21	background information that we might want to work
22	on. We have the availability of the staff to help
23	us at any time we need it on any research that
24	they're more able to do it than we are at times.
25	And we then we have normal briefings, as we

	April 18, 2016
1	128 G. O'NEAL HAMILTON - EX. BY MS. ANDERSON discussed in the past. To make the adequate use of
2	the staff we have three commissioners that meet on a
3	rotating basis decided by the Chair that the staff
4	briefs at one time on the information that they've
5	gathered for the hearing.
6	Q. Your annual review reports by the Public
7	Utilities Review Committee have been entered into
8	the record. Is there any additional information
9	that you'd like to provide regarding your experience
10	or expertise that is not already covered in your PDQ
11	or annual reviews in the following areas energy,
12	telecommunications, consumer protection and
13	advocacy, water and wastewater, finance, economics,
14	statistics, accounting, engineering or law?
15	A. I believe the annual report will stand
16	for itself.
17	Q. If you were approached by someone
18	wanting to discuss a matter that is or may become an
19	issue in a proceeding, how would you handle the
20	situation?
21	A. Well, I wouldn't do it. The first thing
22	if I couldn't stop it it's a long process that
23	you have to go through that we have to if
24	something did happen inadvertently, you would have
25	to notify all the parties immediately of what had

	April 16, 2010
1	129 G. O'NEAL HAMILTON - EX. BY MS. ANDERSON transpired and give them an opportunity to answer.
2	Thank God, it has never happened to me and I think
3	with with my character and ethics I don't think
4	it ever will.
5	Q. Are you familiar with the two advisory
6	opinions issued by the Review Committee dealing with
7	attendance at legislative receptions as well as
8	legislative caucus meetings?
9	A. Yes, ma'am. I'm very appreciative of
10	that.
11	Q. Have you attended any legislative
12	receptions and/or legislative caucus meetings?
13	A. No, I have not.
14	Q. What is the appropriate demeanor for a
15	Commissioner?
16	A. Well, I think the Commission should
17	always appear give the appearance a priority and
18	they should protect the Commission in every way
19	possible. Their ethics should be without question
20	at any time. And I think the people that appear
21	before us would understand that in fact, we've
22	had several. We had a compliment this past week
23	from a person that appeared before the Committee
24	that said that our Committee our Commission was
25	probably as professional as any court he had ever

	April 16, 2016
1	G. O'NEAL HAMILTON - EX. BY MS. ANDERSON worked in. And I thought that was a high
2	compliment.
3	Q. Does that type of demeanor apply while
4	you're on the bench just while you're on the
5	bench or 24/7?
6	A. You have to live it. You have to live
7	it.
8	Q. Is there ever a role for sternness or
9	anger by a commissioner?
10	A. Sternness I think sometimes if you
11	have when I was Chair and you had a commissioner,
12	someone who got mad you had to call them down. I
13	don't think that's sternness, but to be able to lose
14	your temper and get mad there's never a time for
15	that.
16	Q. Can you briefly describe three topics
17	that are either pending before the Commission or
18	that have come you come before you for
19	consideration as a commissioner? Very general
20	topics.
21	A. Very general. I think probably the big
22	thing that's facing all of us, not only in South
23	Carolina and our companies but nationally is the
24	Clean Power Plan. And, of course, it's in limbo
25	now, it's in court and we don't know what's going to

1	131 G. O'NEAL HAMILTON - EX. BY MS. ANDERSON happen and it's going to happen. But I think our
2	com our companies are trying to prepare as best
3	they can for it, the Commission is trying to ramp up
4	and be ready to understand what it is.
5	At the last NARUC convention I went to
6	three different topics on the Clean Power Plan and
7	you wouldn't know from one to the other that you
8	were in the same thing, so it's a lot of opinions
9	and a lot of thoughts.
10	I think our ash ponds are something
11	that that we have to be concerned about. We've
12	had newspaper articles about where they're going to
13	locate them and how they're going to do it and
14	transportation. I think our companies are
15	consistently doing everything possible to try to
16	right this problem that's been created over 100
17	years, and I think they're working to that end and
18	hopefully we can see that completed sooner rather
19	than later.
20	And one other problem that that I see
21	as an individual with my background is gas, is the

as an individual with my background is gas, is the fact that I don't see new distribution lines being brought in to our state or new pipelines. And we're changing every day coal plants to electric, from gas to -- use gas as a generator. And I just hope and



	April 16, 2010
1	132 G. O'NEAL HAMILTON - EX. BY MS. ANDERSON pray that everyone is working on this situation so
2	we won't run up with a lack of adequate supply of
3	gas. I know in my past career in municipal
4	government we did have curtailments back years ago
5	on gas and some folks, you know, didn't have heat
6	they needed. And so I think I hope all of our
7	companies whatever ex parte or hearing that we
8	have I'm bringing this point up and I hope they're
9	paying attention to it.
10	Q. What is the purpose of the Base Load
11	Review Act?
12	A. Base Load Review Act was designed as a
13	way to help the companies in our state develop base
14	load generation and it has worked. We're seeing
15	that work with our nuclear plants. The plants have
16	to be 3 350 kw or more and at least 70 percent
17	capacity name plate for base load. And did you want
18	me to be brief or do you want me to move on?
19	SENATOR HUTTO: Brief will be fine.
20	COMMISSIONER HAMILTON: I think brief
21	I think after our last hour brief is a good word.
22	BY MS. ANDERSON:
23	Q. Is there a way that the public's voice
24	could be heard during procedures prior to the Base
25	Load Review Act?

	April 10, 2010
1	133 G. O'NEAL HAMILTON - EX. BY MS. ANDERSON A. We do hear the public. The public has
2	never been shut up or shut off I think is the
3	better term I'll use here, because we have night
4	hearings and we always make opportunities for the
5	public to be heard. I don't think this Commission
6	will be criticized for the lack of the transparency
7	and seeing that the public has an opportunity.
8	Q. Have you sought or received the pledge
9	of any legislator prior to this date?
10	A. No, ma'am.
11	Q. Have you sought or been offered a
12	conditional pledge of support by any legislator
13	pending the outcome of your screening?
14	A. No, ma'am.
15	Q. Have you asked any third parties to
16	contact members of the General Assembly on your
17	behalf before the final and formal screening report
18	has been released?
19	A. No, ma'am.
20	Q. Are you aware of any friends or
21	colleagues contacting members of the General
22	Assembly on your behalf?
23	A. I would hope not.
24	Q. Are you familiar with the 48-hour rule
25	which prohibits a candidate from seeking pledges

134 G. O'NEAL HAMILTON - EX. BY SENATOR HUTTO until 48 hours after the report is submitted to the 1 General Assembly? 2 3 Α. Yes, ma'am. 4 Ο. Do you plan to serve a full term if 5 reelected? Α. I certainly do. б Thank you. I have no 7 MS. ANDERSON: further questions, Mr. Chair. 8 9 EXAMINATION 10 BY SENATOR HUTTO: 11 Ο. Are you the longest serving 12 commissioner? 13 I believe we -- Butch and Liv and Α. 14 myself. 15 Y'all came on at the same time? Ο. 16 Α. Yes, sir. David Wright was the one that 17 fell out. 18 Okay. And how would you describe the Ο. 19 working of the Commission? Does it work smoothly? 20 Α. Yes, it does. I think every 21 Commission -- Commissioner has, since 175 took effect, and that we kind of -- three of us now were 22 23 a part of the original commission that set it up. 24 And each new commissioner that comes on, we take 25 time to bring them up to speed, that -- the

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1	G. O'NEAL HAMILTON - EX. BY REPRESENTATIVE FORRESTER perception of the commission is as important as the
2	action of the commission.
3	Q. And you mentioned night hearings. How
4	do y'all decide when you will go away from your home
5	office and hold a hearing in a community and at
6	night?
7	A. When I first became a commissioner the
8	legislature legislator in a certain area normally
9	asked for a night hearing and sometimes that happens
10	now. But since we have a history of having night
11	hearings, we have more and more individuals that
12	request night hearings. And we try to pick an area
13	that would be convenient for as many as possible to
14	attend.
15	Q. So you make an effort to make sure that
16	the public does have input into your decisions?
17	A. Yeah. The company is required to notify
18	the public.
19	SENATOR HUTTO: Okay. Mr. Forrester?
20	EXAMINATION
21	BY REPRESENTATIVE FORRESTER:
22	Q. Mr. Hamilton.
23	A. Yes, sir.
24	Q. Over your years of service what would
25	you say was your greatest accomplishment?

1	136 G. O'NEAL HAMILTON - EX. BY REPRESENTATIVE FORRESTER A. Well, you know, you kind of hate to brag
2	on yourself. But as what I've been able to
3	accomplish because this committee allowed me to do
4	it, I think I have a record of service that that
5	I can be proud of. I've served as chairman of one
6	of the three major committees of NARUC, which is the
7	gas committee gas, electric, telecom are the
8	three major committees. And because of that I
9	served a term on the National Petroleum Council, and
10	this this was, I think, a great honor for South
11	Carolina to have someone represent them on the
12	National Petroleum Council. And it put me in
13	contact with the Secretary of Energy and with key
14	people in the Department of Energy that I still have
15	access to.
16	And I served as chairman of the
17	Commission twice, I'm proud of that, and it's a
18	continuous thing that we continue to try to grow day
19	by day.
20	Q. I heard you say earlier that you got
21	some unfinished business. What do you aim to
22	accomplish?
23	A. Well, we've got two nuclear plants that
24	should be completed in the next four years. And
25	this is important to South Carolina. I think the

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	April 18, 2016
1	137 G. O'NEAL HAMILTON - EX. BY REPRESENTATIVE FORRESTER report that we just received that ORS has conducted
2	that showed that the legislation did the right thing
3	in passing the Base Load Review Act, that it will
4	save a billion dollars during the construction of
5	the plant and \$4 billion in the life of the plant
6	which is expected to be at least 60 years. So
7	that's one thing.
8	And, of course, another thing is I we
9	have been talking about distributive generation for
10	a long time, and Act 236 now is law. And I would
11	like to be able to be a part of helping it raise and
12	grow as it should be in the right way.
13	Q. Okay. And lastly, you know, you've seen
14	a lot happen here but there's other folks running
15	for this position. So what makes you the best
16	qualified for this position?
17	A. Well, I think my experience and
18	background and I don't think anyone would have
19	any question about me doing the right thing. I
20	think I know how to balance the needs of the right
21	player, with that of the company, both of them have
22	to be recognized. I think I understand that. I
23	understand the prudence test. I understand known
24	and measurable and use and useful. And I think
25	these things are something that that you aren't

138 G. O'NEAL HAMILTON - EX. BY REPRESENTATIVE FORRESTER 1 going to walk in that door and be able to accomplish what I could do. I think I'm an asset worth 2 3 keeping. 4 REPRESENTATIVE FORRESTER: Thank you. 5 SENATOR HUTTO: Thank you. We're going to ask you to continue to be seated. We'll ask б 7 everybody else to -- to leave for a minute. We'll go into executive session as we've done after the 8 screening of each candidate. 9 (Executive session.) 10 11 SENATOR HUTTO: We're going in open 12 session and now we're going back into executive session again. So if y'all can let everybody know 13 we did that, please. 14 15 (Executive session.) 16 SENATOR HUTTO: All right. Welcome back. Now we're standing up so you know we're 17 getting ready --18 19 That's right. AUDIENCE MEMBER: 20 SENATOR HUTTO: We are back in open 21 session from the screening of -- for Public Service 22 Commission. Our work today is concluded and what 23 will happen is this transcript will be made available on-line and to the full committee. 24 The 25 full committee will meet sometime in May,

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	April 16, 2010
1	139 G. O'NEAL HAMILTON - EX. BY REPRESENTATIVE FORRESTER tentatively May 3rd, but that's just tentative right
2	now. The full committee will consider the
3	transcript, the personal data information and
4	that we've all made recommendations to will be
5	making recommendations to the full committee.
6	But it will be up to the full committee
7	as to what the final outcome on which candidates get
8	screened forward for consideration by the General
9	Assembly. But just so you know, the process even
10	if we do that in early May and then give the
11	candidates a couple of weeks to let their status be
12	known because they can't contact anybody till 48
13	hours after the release of the report, so in
14	fairness we have to give them a couple of weeks to
15	share their thoughts with the General Assembly. So
16	it looks like
17	MS. ANDERSON: The election is May 25th.
18	SENATOR HUTTO: May 25th.
19	MS. ANDERSON: Tentative date.
20	SENATOR HUTTO: Tentative date. Okay.
21	Thank y'all. No action was taken in any of the
22	any of the executive sessions, including the last
23	one, and everybody have a great day. See y'all
24	tomorrow. Appreciate it.
25	(The preceding was concluded at 3:34

	Screen	ing Subcon	nmittee				Apr	11 18, 2016
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1	141 CERTIFICATE OF REPORTER
2	
3	I, Lori S. Mortge, Certified Court
4	Reporter and Notary Public for the State of South
5	Carolina at Large, do hereby certify that the
б	foregoing transcript is a true, accurate, and
7	complete record.
8	I further certify that I am neither
9	related to nor counsel for any party to the cause
10	pending or interested in the events thereof.
11	Witness my hand, I have hereunto affixed
12	my official seal this 22nd day of April, 2016 at
13	West Columbia, Lexington County, South Carolina.
14	
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16	
17	S. MOR
18	NOTAPL M
19	PUBLIC
20	Bent CAROLINE Jou S. Mortge, CCR
21	NOTAP, MOTAP, MOTAP, MOTAP, CCR Lori S. Mortge Cortified Court
22	Certified Court Reporter, CCR
23	My Commission expires December 13, 2016
24	
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