

Session 110 - (1993-1994)

S*0665 (Rat #0520, Act #0466 of 1994) General Bill, By Leventis

A Bill to amend Section 35-1-440, as amended, Code of Laws of South Carolina, 1976, relating to applications for registration of a securities broker-dealer, agent, investment advisor, or investment advisor representative, so as to require a criminal record history from the South Carolina Law Enforcement Division at the rate provided by law for all initial applicants who are not members of the National Association of Securities Dealers and require all misdemeanor activities involving securities and all felonies within ten years of the date of the application to be noted on the registration, to amend Section 40-68-40, relating to qualifications of a controlling person for purposes of staff leasing services, so as to require fingerprinting of each applicant and controlling person and provide for examination of law enforcement records only if necessary, and to amend Section 33-15-103, as amended, relating to a foreign corporation's certificate of authority to transact business in this State, so as to delete the requirement that the application for the certificate must be signed by an attorney licensed to practice in this State.-amended title

04/13/93	Senate	Introduced and read first time SJ-7
04/13/93	Senate	Referred to Committee on Banking and Insurance SJ-7
03/30/94	Senate	Committee report: Favorable with amendment Banking and Insurance SJ-16
03/31/94	Senate	Amended SJ-315
03/31/94	Senate	Read second time SJ-315
04/05/94	Senate	Read third time and sent to House SJ-11
04/06/94	House	Introduced and read first time HJ-33
04/06/94	House	Referred to Committee on Labor, Commerce and Industry HJ-33
05/04/94	House	Committee report: Favorable Labor, Commerce and Industry HJ-10
05/25/94	House	Amended HJ-16
05/25/94	House	Debate adjourned until Thursday, May 26, 1994 HJ-17
05/26/94	House	Amended HJ-41
05/26/94	House	Read second time HJ-41
05/26/94	House	Unanimous consent for third reading on next legislative day HJ-42
05/27/94	House	Read third time and returned to Senate with amendments HJ-1
05/31/94	Senate	Concurred in House amendment and enrolled SJ-10
06/02/94		Ratified R 520
07/14/94		Signed By Governor
07/14/94		Act No. 466
07/14/94		See act for exception to or explanation of effective date
07/26/94		Copies available