Agency Name: Office of Occupational Safety and Health - Labor, Licensing and Regulation

Statutory Authority: 41-15-220

Document Number: 5258

Proposed in State Register Volume and Issue: 47/10

House Committee: Regulations and Administrative Procedures Committee

Senate Committee: Labor, Commerce and Industry Committee

120 Day Review Expiration Date for Automatic Approval: 05/08/2024

Final in State Register Volume and Issue: 48/5

Status: Final

Subject: Recordkeeping

History: 5258

By Date Action Description Jt. Res. No. Expiration Date

- 10/27/2023 Proposed Reg Published in SR

- 01/09/2024 Received President of the Senate & Speaker 05/08/2024

H 01/09/2024 Referred to Committee

S 01/09/2024 Referred to Committee

S 04/02/2024 Resolution Introduced to Approve 1221

- 05/08/2024 Approved by: Expiration Date

- 05/24/2024 Effective Date unless otherwise

 provided for in the Regulation

Document No. 5258

**DEPARTMENT OF LABOR, LICENSING AND REGULATION**

**OFFICE OF OCCUPATIONAL SAFETY AND HEALTH**

CHAPTER71

Statutory Authority: 1976 Code Section 41‑15‑220

71‑341. Electronic submission of Employer Identification Number (EIN) and injury and illness records to OSHA.

Appendix A to Subpart E of Subarticle 3.

Appendix B to Subpart E of Subarticle 3. (New)

**Synopsis:**

 The South Carolina Department of Labor, Licensing and Regulation – Division of Occupational Safety and Health (SC OSHA) proposes to amend sections of Chapter 71, Article 1, Subarticle 3, Occupational Injury and Illness Recording and Reporting Regulation. Specifically, the Department proposes to amend Subarticle 3 to clarify that certain employers must electronically submit injury and illness information to OSHA that employers are already required to keep under the recordkeeping regulation. Specifically, OSHA is amending its regulation to require establishments with 100 or more employees in certain designated industries to electronically submit information from their OSHA Forms 300 and 301 to OSHA once a year. Establishments with 20 to 249 employees in certain industries will continue to be required to electronically submit information from their OSHA Form 300A annual summary to OSHA once a year. All establishments with 250 or more employees that are required to keep records under OSHA’s injury and illness regulation will also continue to be required to electronically submit information from their Form 300A to OSHA on an annual basis.

 A Notice of Drafting was published in the *State Register* on August 25, 2023.

**Instructions:**

 Print the regulation as shown below. All other items remain unchanged.

**Text:**

71‑341. Electronic submission of Employer Identification Number (EIN) and injury and illness records to OSHA.

 (a) Basic requirements

 (1) Annual electronic submission of information from OSHA Form 300A Summary of Work‑Related Injuries and Illnesses.

 (i) If your establishment had 20‑249 employees at any time during the previous calendar year, and your establishment is classified in an industry listed in appendix A to subpart E of this part, then you must electronically submit information from OSHA Form 300A Summary of Work‑Related Injuries and Illnesses to OSHA or OSHA’s designee. You must submit the information once a year, no later than the date listed in paragraph (c) of this section of the year after the calendar year covered by the form.

 (ii) If your establishment had 250 or more employees at any time during the previous calendar year, and this part requires your establishment to keep records, then you must electronically submit information from OSHA Form 300A Summary of Work‑Related Injuries and illnesses to OSHA and OSHA’s designee. You must submit the information once a year, no later than the date listed in paragraph (c) of this section of the year after the calendar year covered by the form.

 (2) Annual electronic submission of information from OSHA Form 300 Log of Work‑Related Injuries and Illnesses and OSHA Form 301 Injury and Illness Incident Report by establishments with 100 or more employees in designated industries. If your establishment had 100 or more employees at any time during the previous calendar year, and your establishment is classified in an industry listed in appendix B to subpart E of this part, then you must electronically submit information from OSHA Forms 300 and 301 to OSHA or OSHA’s designee. You must submit the information once a year, no later than the date listed in paragraph (c) of this section of the year after the calendar year covered by the forms.

 (3) Electronic submission of part 1904 records upon notification. Upon notification, you must electronically submit the requested information from your part 1904 records to OSHA or OSHA’s designee.

 (4) Electronic submission of the Employer Identification Number (EIN). For each establishment that is subject to these reporting requirements, you must provide the EIN used by the establishment.

 (b) Implementation

 (1) Does every employer have to routinely make an annual electronic submission of information from part 1904 injury and illness recordkeeping forms to OSHA? No, only three categories of employers must routinely submit information from these forms. The first category is establishments that had 20‑249 employees at any time during the previous calendar year, and are classified in an industry listed in appendix A to this subpart; establishments in this category must submit the required information from Form 300A to OSHA once a year. The second category is establishments that had 250 or more employees at any time during the previous calendar year, and are required by this part to keep records; establishments in this category must submit the required information from Form 300A to OSHA once year. The third category is establishments that had 100 or more employees at any time during the previous calendar year, and are classified in an industry listed in appendix B to this subpart; establishments in this category must also submit the required information from Forms 300 and 301 to OSHA once a year, in addition to the required information from Form 300A. Employers in these three categories must submit the required information by the date listed in paragraph (c) of this section of the year after the calendar year covered by the form (for example, 2024 for the 2023 form(s)). If your establishment is not in any of these three categories, then you must submit the information to OSHA only if OSHA notifies you to do so for an individual data collection.

 (2) Do part‑time, seasonal, or temporary workers count as employees in the criteria for number of employees in paragraph (a) of this section? Yes, each individual employed in the establishment at any time during the calendar year counts as one employee, including full‑time, part‑time, seasonal, and temporary workers.

 (3) How will OSHA notify me that I must submit information as part of an individual data collection under paragraph (a)(3) of this section? OSHA will notify you by mail if you will have to submit information as part of an individual data collection under paragraph (a)(3). OSHA will also announce individual data collections through publication in the Federal Register and the OSHA newsletter, and announcements on the OSHA website. If you are an employer who must routinely submit the information, then OSHA will not notify you about your routine submittal.

 (4) When do I have to submit the information? If you are required to submit information under paragraph (a)(1) or (2) of this section, then you must submit the information once a year, by the date listed in paragraph (c) of this section of the year after the calendar year covered by the form (for example, 2019 for the 2018 form). If you are submitting information because OSHA notified you to submit information as part of an individual data collection under paragraph (a)(3) of this section, then you must submit the information as often as specified in the notification.

 (5) How do I submit the information? You must submit the information electronically. OSHA will provide a secure website for the electronic submission of information. For individual data collections under paragraph (a)(3) of this section, OSHA will include the website’s location in the notification for the data collection.

 (6) Do I have to submit information if my establishment is partially exempt from keeping OSHA injury and illness records? If you are partially exempt from keeping injury and illness records under Sections 71‑301 and/or 71‑302, then you do not have to routinely submit information under paragraphs (a)(1) and (2) of this section. You will have to submit information under paragraph (a)(3) of this section if OSHA informs you in writing that it will collect injury and illness information from you. If you receive such a notification, then you must keep the injury and illness records required by this part and submit information as directed.

 (7) Do I have to submit information if I am located in a State Plan State? Yes, the requirements apply to employers located in State Plan States.

 (8) May an enterprise or corporate office electronically submit information for its establishment(s)? Yes, if your enterprise or corporate office had ownership of or control over one or more establishments required to submit information under paragraph (a) of this section, then the enterprise or corporate office may collect and electronically submit the information for the establishment(s).

 (9) If I have to submit information under paragraph (a)(2) of this section, do I have to submit all of the information from the recordkeeping forms? No, you are required to submit all of the information from the forms *except* the following:

 (i) Log of Work‑Related Injuries and Illnesses (OSHA Form 300): Employee name (column B).

 (ii) Injury and Illness Incident Report (OSHA Form 301): Employee name (field 1), employee address (field 2), name of physician or other health care professional (field 6), facility name and address if treatment was given away from the worksite (field 7).

 (10) My company uses numbers or codes to identify our establishments. May I use numbers or codes as the establishment name in my submission? Yes, you may use numbers or codes as the establishment name. However, the submission must include a legal company name, either as part of the establishment name or separately as the company name.

 (c) Reporting dates. Establishments that are required to submit under paragraph (a)(1) or (2) of this section must submit all of the required information by March 2 of the year after the calendar year covered by the form(s) (for example, by March 2, 2024, for the forms covering 2023).

(Cross Reference: 1904.41)

APPENDIX A TO SUBPART E OF SUBARTICLE 3—DESIGNATED INDUSTRIES FOR SECTION 71‑341(a)(1)(i) ANNUAL ELECTRONIC SUBMISSION OF INFORMATION FROM OSHA FORM 300A SUMMARY OF WORK‑RELATED INJURIES AND ILLNESSES BY ESTABLISHMENTS WITH 20–249 EMPLOYEES IN DESIGNATED INDUSTRIES

|  |  |  |
| --- | --- | --- |
|   | **NAICS** | **Industry** |
|   | 11 | Agriculture, Forestry, Fishing and Hunting. |
|   | 22 | Utilities. |
|   | 23 | Construction. |
|   | 31‑33 | Manufacturing. |
|   | 42 | Wholesale Trade. |
|   | 4413 | Automotive Parts, Accessories, and Tire Stores. |
|   | 4421 | Furniture Stores. |
|   | 4422 | Home Furnishings Stores. |
|   | 4441 | Building Material and Supplies Dealers. |
|   | 4442 | Lawn and Garden Equipment and Supplies Stores. |
|   | 4451 | Grocery Stores. |
|   | 4452 | Specialty Food Stores. |
|   | 4522 | Department Stores. |
|   | 4523 | General Merchandise Stores, Including Warehouse Clubs and Supercenters. |
|   | 4533 | Used Merchandise Stores. |
|   | 4542 | Vending Machine Operators. |
|   | 4543 | Direct Selling Establishments. |
|   | 4811 | Scheduled Air Transportation. |
|   | 4841 | General Freight Trucking. |
|   | 4842 | Specialized Freight Trucking. |
|   | 4851 | Urban Transit Systems. |
|   | 4852 | Interurban and Rural Bus Transportation. |
|   | 4853 | Taxi and Limousine Service. |
|   | 4854 | School and Employee Bus Transportation. |
|   | 4855 | Charter Bus Industry. |
|   | 4859 | Other Transit and Ground Passenger Transportation. |
|   | 4871 | Scenic and Sightseeing Transportation, Land. |
|   | 4881 | Support Activities for Air Transportation. |
|   | 4882 | Support Activities for Rail Transportation |
|   | 4883 | Support Activities for Water Transportation. |
|   | 4884 | Support Activities for Road Transportation. |
|   | 4889 | Other Support Activities for Transportation. |
|   | 4911 | Postal Service. |
|   | 4921 | Couriers and Express Delivery Services. |
|   | 4922 | Local Messengers and Local Delivery. |
|   | 4931 | Warehousing and Storage. |
|   | 5152 | Cable and Other Subscription Programming. |
|   | 5311 | Lessors of Real Estate. |
|   | 5321 | Automotive Equipment Rental and Leasing. |
|   | 5322 | Consumer Goods Rental. |
|   | 5323 | General Rental Centers. |
|   | 5617 | Services to Buildings and Dwellings. |
|   | 5621 | Waste Collection. |
|   | 5622 | Waste Treatment and Disposal. |
|   | 5629 | Remediation and Other Waste Management Services. |
|   | 6219 | Other Ambulatory Health Care Services. |
|   | 6221 | General Medical and Surgical Hospitals. |
|   | 6222 | Psychiatric and Substance Abuse Hospitals. |
|   | 6223 | Specialty (Except Psychiatric and Substance Abuse) Hospitals. |
|   | 6231 | Nursing Care Facilities (Skilled Nursing Facilities). |
|   | 6232 | Residential Intellectual and Developmental Disability, Mental Health, and Substance Abuse Facilities. |
|   | 6233 | Continuing Care Retirement Communities and Assisted Living Facilities for the Elderly. |
|   | 6239 | Other Residential Care Facilities. |
|   | 6242 | Community Food and Housing, and Emergency and Other Relief Service. |
|   | 6243 | Vocational Rehabilitation Services. |
|   | 7111 | Performing Arts Companies. |
|   | 7112 | Spectator Sports. |
|   | 7121 | Museums, Historical Sites, and Similar Institutions. |
|   | 7131 | Amusement Parks and Arcades. |
|   | 7132 | Gambling Industries. |
|   | 7211 | Traveler Accommodation. |
|   | 7212 | RV (Recreational Vehicle) Parks and Recreational Camps. |
|   | 7223 | Special Food Services. |
|   | 8113 | Commercial and Industrial Machinery and Equipment (Except Automotive and Electronic) Repair and Maintenance. |
|   | 8123 | Dry cleaning and Laundry Services. |

APPENDIX B TO SUBPART E OF SUBARTICLE 3—DESIGNATED INDUSTRIES FOR SECTION 71‑341(a)(2) ANNUAL ELECTRONIC SUBMISSION OF INFORMATION FROM OSHA FORM 300 LOG OF WORK‑RELATED INJURIES AND ILLNESSES AND OSHA FORM 301 INJURY AND ILLNESS INCIDENT REPORT BY ESTABLISHMENTS WITH 100 or MORE EMPLOYEES IN DESIGNATED INDUSTRIES

|  |  |  |
| --- | --- | --- |
|   | **NAICS** | **Industry** |
|   | 1111 | Oilseed and Grain Farming. |
|   | 1112 | Vegetable and Melon Farming. |
|   | 1113 | Fruit and Tree Nut Farming. |
|   | 1114 | Greenhouse, Nursery, and Floriculture Production. |
|   | 1119 | Other Crop Farming. |
|   | 1121 | Cattle Ranching and Farming. |
|   | 1122 | Hog and Pig Farming. |
|   | 1123 | Poultry and Egg Production. |
|   | 1129 | Other Animal Production. |
|   | 1133 | Logging. |
|   | 1141 | Fishing. |
|   | 1142 | Hunting and Trapping. |
|   | 1151 | Support Activities for Crop Production. |
|   | 1152 | Support Activities for Animal Production. |
|   | 1153 | Support Activities for Forestry. |
|   | 2213 | Water, Sewage and Other Systems. |
|   | 2381 | Foundation, Structure, and Building Exterior Contractors |
|   | 3111 | Animal Food Manufacturing. |
|   | 3113 | Sugar and Confectionery Product Manufacturing. |
|   | 3114 | Fruit and Vegetable Preserving and Specialty Food Manufacturing. |
|   | 3115 | Dairy Product Manufacturing. |
|   | 3116 | Animal Slaughtering and Processing. |
|   | 3117 | Seafood Product Preparation and Packaging. |
|   | 3118 | Bakeries and Tortilla Manufacturing. |
|   | 3119 | Other Food Manufacturing. |
|   | 3121 | Beverage Manufacturing. |
|   | 3161 | Leather and Hide Tanning and Finishing. |
|   | 3162 | Footwear Manufacturing. |
|   | 3211 | Sawmills and Wood Preservation. |
|   | 3212 | Veneer, Plywood, and Engineered Wood Product Manufacturing. |
|   | 3219 | Other Wood Product Manufacturing. |
|   | 3261 | Plastics Product Manufacturing. |
|   | 3262 | Rubber Product Manufacturing. |
|   | 3271 | Clay Product and Refractory Manufacturing. |
|   | 3272 | Glass and Glass Product Manufacturing. |
|   | 3273 | Cement and Concrete Product Manufacturing. |
|   | 3279 | Other Nonmetallic Mineral Product Manufacturing. |
|   | 3312 | Steel Product Manufacturing from Purchased Steel. |
|   | 3314 | Nonferrous Metal (except Aluminum) Production and Processing. |
|   | 3315 | Foundries. |
|   | 3321 | Forging and Stamping. |
|   | 3323 | Architectural and Structural Metals Manufacturing. |
|   | 3324 | Boiler, Tank, and Shipping Container Manufacturing. |
|   | 3325 | Hardware Manufacturing. |
|   | 3326 | Spring and Wire Product Manufacturing. |
|   | 3327 | Machine Shops; Turned Product; and Screw, Nut, and Bolt Manufacturing. |
|   | 3328 | Coating, Engraving, Heat Treating, and Allied Activities. |
|   | 3331 | Agriculture, Construction, and Mining Machinery Manufacturing. |
|   | 3335 | Metalworking Machinery Manufacturing. |
|   | 3361 | Motor Vehicle Manufacturing. |
|   | 3362 | Motor Vehicle Body and Trailer Manufacturing. |
|   | 3363 | Motor Vehicle Parts Manufacturing. |
|   | 3366 | Ship and Boat Building. |
|   | 3371 | Household and Institutional Furniture and Kitchen Cabinet Manufacturing. |
|   | 3372 | Office Furniture (including Fixtures) Manufacturing. |
|   | 3379 | Other Furniture Related Product Manufacturing. |
|   | 4231 | Motor Vehicle and Motor Vehicle Parts and Supplies Merchant Wholesalers. |
|   | 4233 | Lumber and Other Construction Materials Merchant Wholesalers. |
|   | 4235 | Metal and Mineral (except Petroleum) Merchant Wholesalers. |
|   | 4239 | Miscellaneous Durable Goods Merchant Wholesalers. |
|   | 4244 | Grocery and Related Product Merchant Wholesalers. |
|   | 4248 | Beer, Wine, and Distilled Alcoholic Beverage Merchant Wholesalers. |
|   | 4413 | Automotive Parts, Accessories, and Tire Stores. |
|   | 4422 | Home Furnishings Stores. |
|   | 4441 | Building Material and Supplies Dealers. |
|   | 4442 | Lawn and Garden Equipment and Supplies Stores. |
|  | 4451 | Grocery Stores. |
|  | 4522 | Department Stores. |
|  | 4523 | General Merchandise Stores, including Warehouse Clubs and Supercenters. |
|  | 4533 | Used Merchandise Stores. |
|  | 4543 | Direct Selling Establishments. |
|  | 4811 | Scheduled Air Transportation. |
|  | 4841 | General Freight Trucking. |
|  | 4842 | Specialized Freight Trucking. |
|  | 4851 | Urban Transit Systems. |
|  | 4852 | Interurban and Rural Bus Transportation. |
|  | 4853 | Taxi and Limousine Service. |
|  | 4854 | School and Employee Bus Transportation. |
|  | 4859 | Other Transit and Ground Passenger Transportation. |
|  | 4871 | Scenic and Sightseeing Transportation, Land. |
|  | 4881 | Support Activities for Air Transportation. |
|  | 4883 | Support Activities for Water Transportation. |
|  | 4889 | Other Support Activities for Transportation. |
|  | 4911 | Postal Service. |
|  | 4921 | Couriers and Express Delivery Services. |
|  | 4931 | Warehousing and Storage. |
|  | 5322 | Consumer Goods Rental. |
|  | 5621 | Waste Collection. |
|  | 5622 | Waste Treatment and Disposal. |
|  | 6219 | Other Ambulatory Health Care Services. |
|  | 6221 | General Medical and Surgical Hospitals. |
|  | 6222 | Psychiatric and Substance Abuse Hospitals. |
|  | 6223 | Specialty (except Psychiatric and Substance Abuse) Hospitals. |
|  | 6231 | Nursing Care Facilities (Skilled Nursing Facilities). |
|  | 6232 | Residential Intellectual and Developmental Disability, Mental Health, and Substance Abuse Facilities. |
|  | 6233 | Continuing Care Retirement Communities and Assisted Living Facilities for the Elderly. |
|  | 6239 | Other Residential Care Facilities. |
|  | 6243 | Vocational Rehabilitation Services. |
|  | 7111 | Performing Arts Companies. |
|  | 7112 | Spectator Sports. |
|  | 7131 | Amusement Parks and Arcades. |
|  | 7211 | Traveler Accommodation. |
|  | 7212 | RV (Recreational Vehicle) Parks and Recreational Camps. |
|  | 7223 | Special Food Services. |

(Cross Reference: Appendix B to Subpart E of Part 1904)

**Fiscal Impact Statement:**

 There will be no cost incurred by the State or any of its political subdivisions for these regulations.

**Statement of Rationale:**

 The updated regulations will clarify that certain employers must electronically submit injury and illness information to OSHA that employers are already required to keep under the recordkeeping regulation. Specifically, OSHA is amending its regulation to require establishments with 100 or more employees in certain designated industries to electronically submit information from their OSHA Forms 300 and 301 to OSHA once a year. Establishments with 20 to 249 employees in certain industries will continue to be required to electronically submit information from their OSHA Form 300A annual summary to OSHA once a year. All establishments with 250 or more employees that are required to keep records under OSHA’s injury and illness regulation will also continue to be required to electronically submit information from their Form 300A to OSHA on an annual basis. OSHA is also updating the NAICS codes used in Appendix B, which designates the industries required to submit Form 300 and Form 301 data. Additionally, establishments will be required to include their company name when making electronic submissions to OSHA.